

# What Can We Learn From $\Lambda$ Polarization ?

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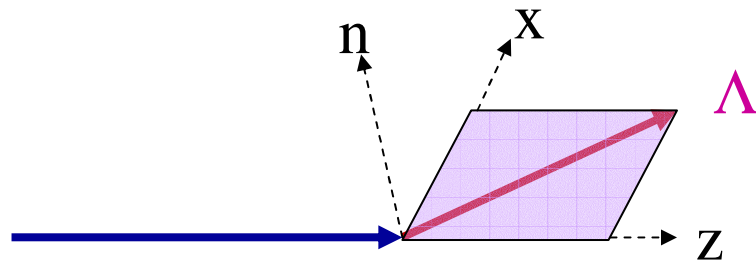
On leave from Yerevan Physics Institute, Armenia  
&  
JINR, Dubna, Russia



# Outline

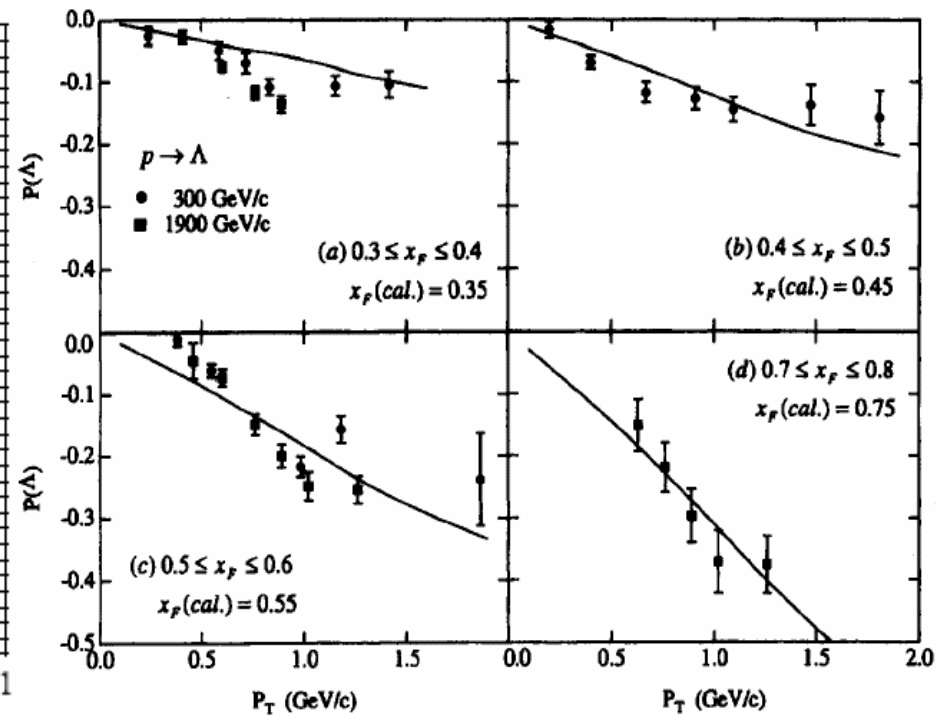
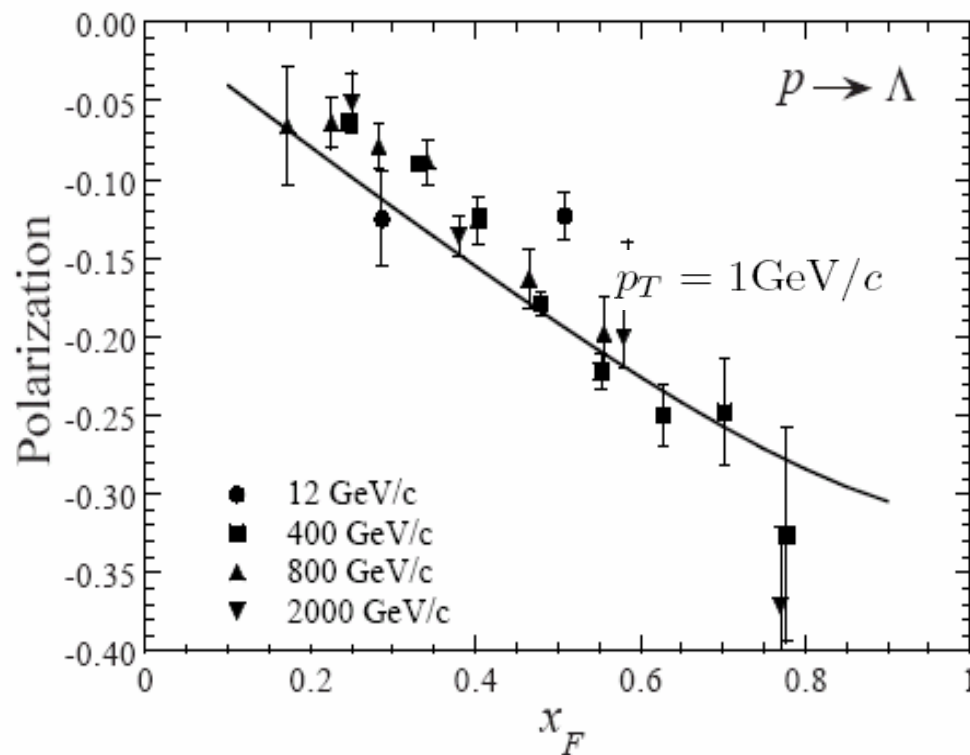
- Transverse polarization of  $\Lambda$ 
  - Data
    - Models
  - Transversity
- Longitudinal polarization of  $\Lambda$ 
  - LEP data
    - Models
  - Semi-Inclusive DIS (SIDIS)
    - Target and Current Fragmentation
      - Production mechanism and models
- Some conclusions

# Transverse Polarization of $\Lambda$



Normal to production plane

$$\mathbf{n} = \frac{\mathbf{P}_{\text{Beam}} \times \mathbf{P}_{\Lambda}}{|\mathbf{P}_{\text{Beam}} \times \mathbf{P}_{\Lambda}|}$$



Empiric relation:  $S_T^\Lambda \propto x_F P_T^\Lambda$

# Models for Transverse Polarization

- DeGrand & Miettinen model (1981)
  - Quark recombination
- Anderson, Gustafson & Ingelman (1979)
  - String fragmentation
- Anselmino, Boer, D'Alesio & Murgia (2001)
  - New polarizing Fragmentation Functions
- Szwed (1981)
  - Multiple scattering of s-quark on quark-gluon matter
- Barni , Preparata & Ratcliffe (1992)
  - Diffractive triple-Regge model

# DeGrand & Miettinen model

- An empirical rule for spin direction of recombining quark:
  - Slow partons – Down, fast partons – Up
  - SU(6) wave functions for baryons
- Semiclassical dynamic is based on Thomas precession

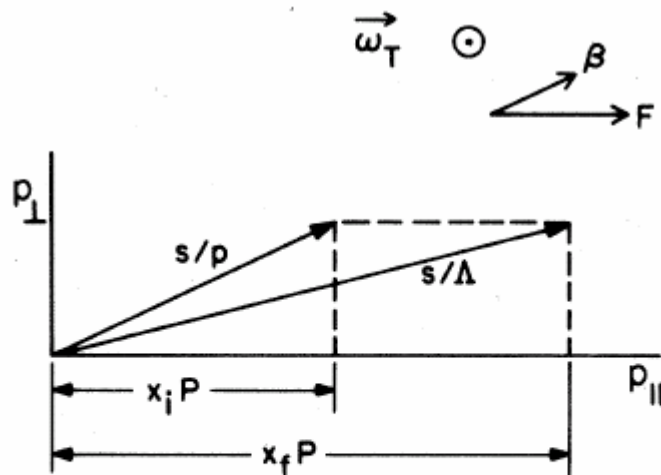


FIG. 2. Momentum vectors for the  $s$  quark in the scattering plane in the sea of the proton (labeled by subscripts  $s/p$ ) and in the  $\Lambda$  (labeled by subscript  $s/\Lambda$ ). The recombination force is along the beam direction and the Thomas frequency  $\vec{\omega}_T$  is out of the scattering plane.

New term in effective interaction Hamiltonian:

$$U = \vec{S} \cdot \vec{\omega}_T$$

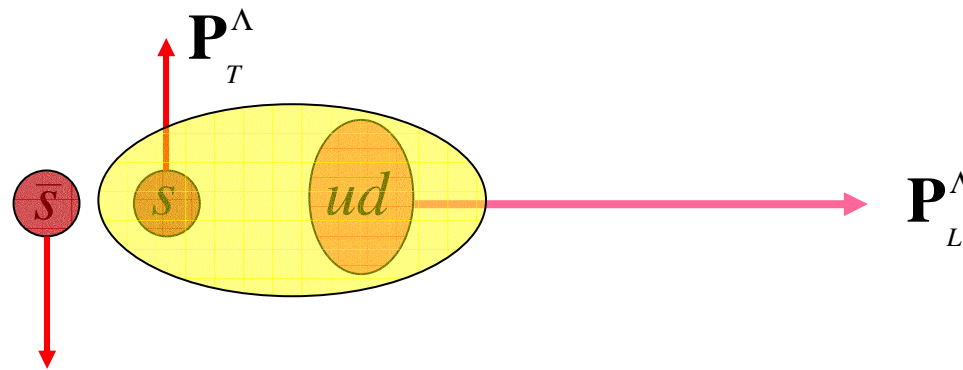
where Thomas frequency is

$$\vec{\omega}_T = \frac{\gamma}{\gamma + 1} \frac{\vec{F}}{m_s} \times \vec{V}$$

# Anderson, Gustafson & Ingelman model

- Semiclassical string fragmentation model

  - Vacuum quantum numbers of quark-antiquark pair:  $^3P_0$ -state



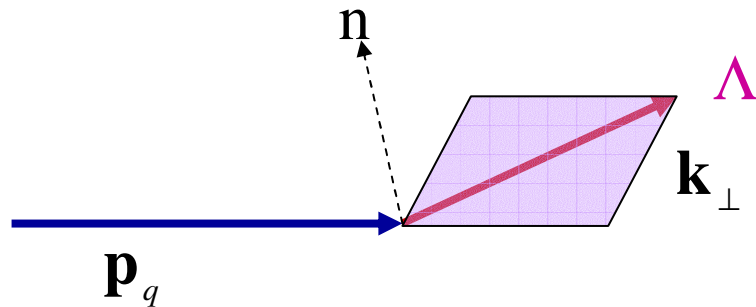
- Normal to production plane – out of picture

- $s\bar{s}$ -pair orbital moment is compensated by spin

  - Negative transverse polarization of  $\Lambda$

# Polarizing Fragmentation Functions

- In unpolarized quark fragmentation with nonzero transverse momentum,  $\mathbf{k}_\perp$ ,  $\Lambda$  can be polarized



$$\hat{D}_{h^\uparrow/q}(z, \mathbf{k}_\perp) = \frac{1}{2} \hat{D}_{h/q}(z, k_\perp) + \frac{1}{2} \Delta^N D_{h^\uparrow/q}(z, k_\perp) \frac{\hat{P}_h \cdot (\mathbf{p}_q \times \mathbf{k}_\perp)}{|\mathbf{p}_q \times \mathbf{k}_\perp|}$$

$$\Delta^N D_{h^\uparrow/a}(z, \mathbf{k}_\perp) \equiv \hat{D}_{h^\uparrow/a}(z, \mathbf{k}_\perp) - \hat{D}_{h^\downarrow/a}(z, \mathbf{k}_\perp) = \hat{D}_{h^\uparrow/a}(z, \mathbf{k}_\perp) - \hat{D}_{h^\uparrow/a}(z, -\mathbf{k}_\perp),$$

- Probabilistic interpretation – no interference effects

# Szwed model

- main assumption is that the s-quark obtains the required transverse momentum by multiple scattering on quark-gluon matter (approximate by external gluonic field  $\Phi^a(q) = 4\pi g I^a/q^2$ )
- Polarization appears already in the second order of the perturbation calculation and reads then

$$\mathbf{S}_T^q = \frac{2C\alpha_s m|k|}{E^2} \frac{\sin^3 \theta/2 \ln \sin \theta/2}{[1 - (k^2/E^2) \sin^2 \theta/2] \cos \theta/2} \mathbf{n}$$



# Barni , Preparata & Ratcliffe

$$S_T^\Lambda = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow} = \frac{\Delta\sigma}{\sigma}, \quad \sigma = 2F_{++}^B, \quad \Delta\sigma = 2 \text{Im} F_{-+}^B$$

Interference between diagrams with different intermediate baryons give rise to polarization

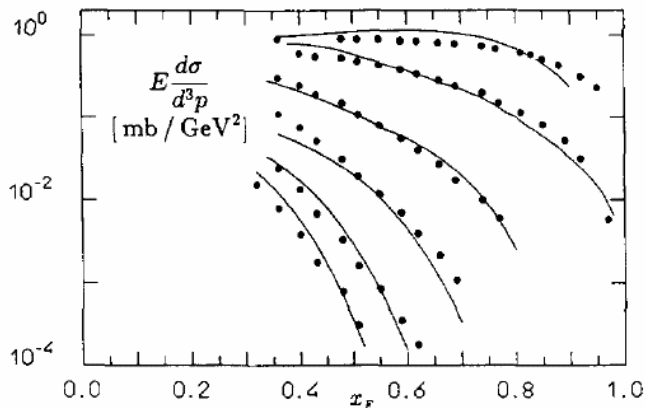
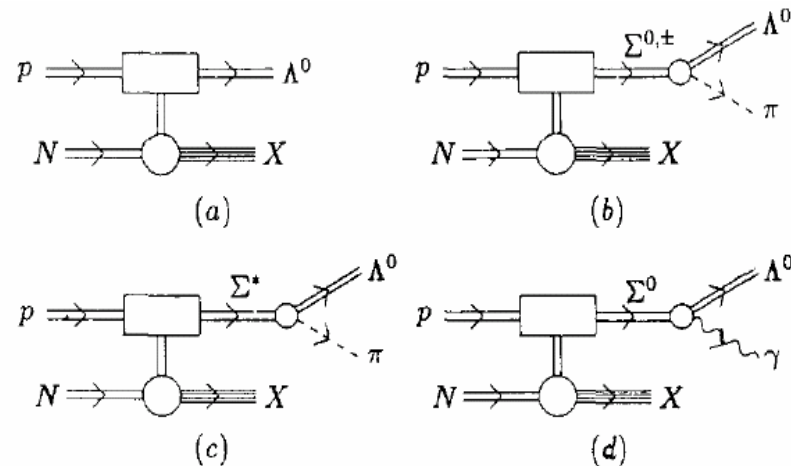


Fig. 2. The triple-Regge prediction of the  $\Lambda^0$  cross section compared to the data of ref. [11], the curves from top to bottom refer to  $\theta_{\text{lab}} = 0.5, 2, 4, 6, 8.1, 10$  mrad.

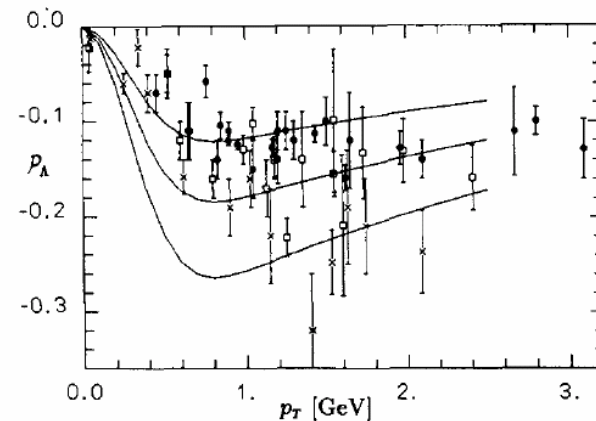
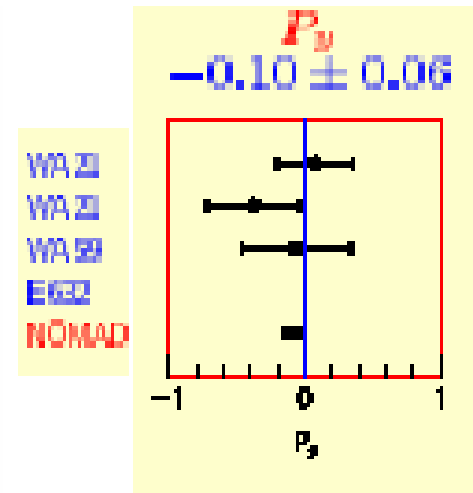
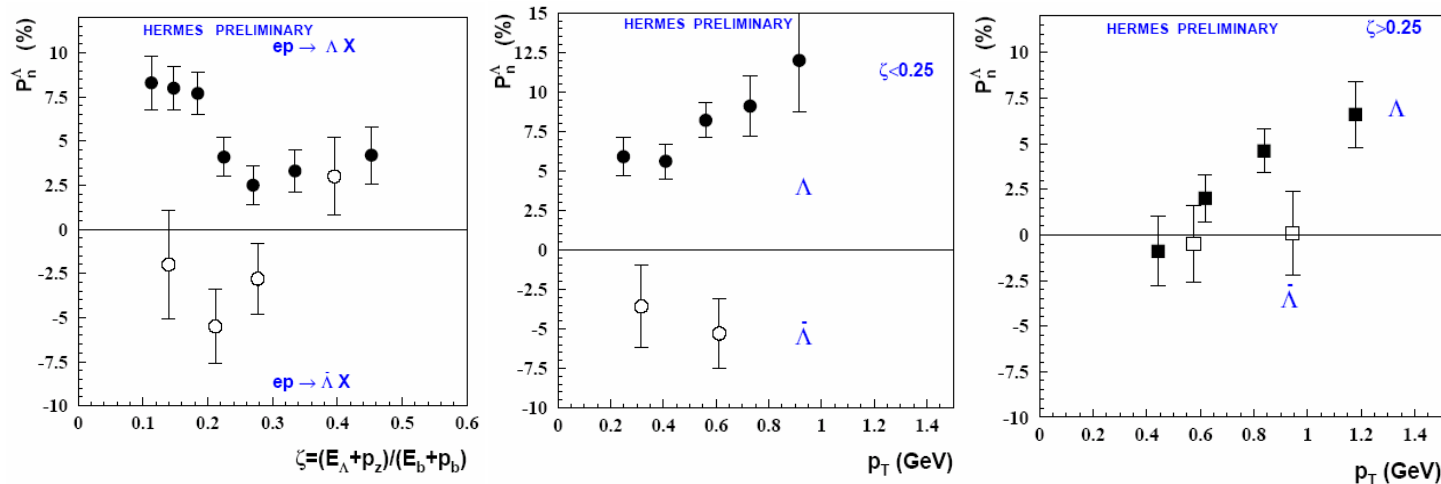


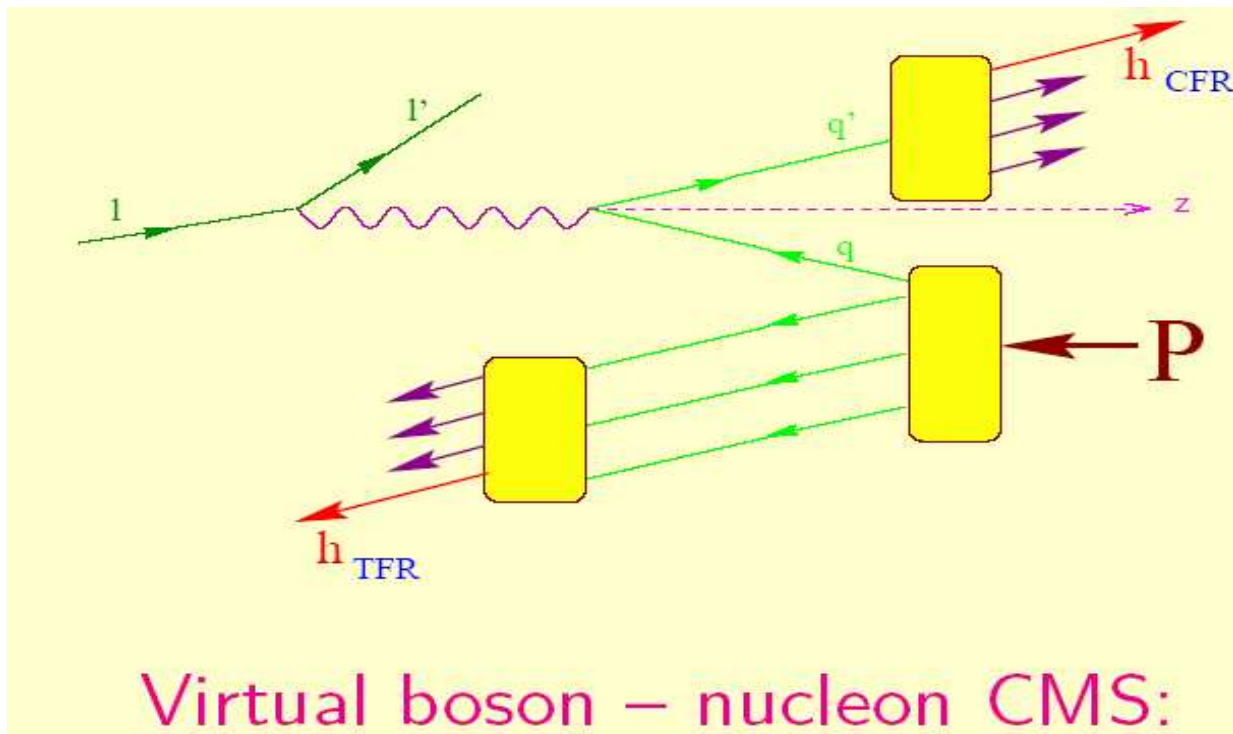
Fig. 5. Our results for the  $\Lambda^0$  polarization as a function of  $p_T$  for  $x_F = 0.40, 0.55, 0.70$  (upper, middle and lower curves respectively) compared with the data ( $\bullet, \square, \times$ ) of refs. [11,18].

# Some Open Questions

- No transverse polarization observed at LEP
- Positive transverse polarization at HERMES
  - Qualitatively can be explained in DM model with VMD approach
  - Parton model: u-quark dominance? Compare with neutrino data.



# SIDIS



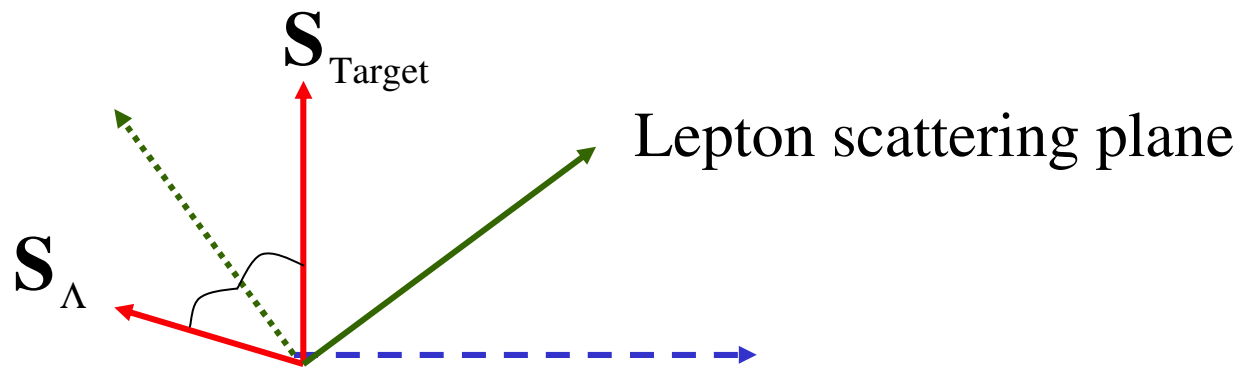
$x_F > 0$  – current fragmentation (CFR)

$x_F < 0$  – target fragmentation (TFR)

# Transversity

- Transverse polarization of quarks in the transversely polarized nucleon:  $h_{1q}(x)$  measurement
  - Transverse polarization transfer from quark to  $\Lambda$

$$S_{\Lambda} = S_{\text{Target}} \cdot \frac{2(1-y)}{1+(1-y)^2} \frac{\sum_q e_q^2 h_{1q}(x) \Delta_T D_{\Lambda/q}(z)}{\sum_q e_q^2 q(x) D_{\Lambda/q}(z)}$$



- s-quark dominance in polarization transfer as in SU(6)?

# Longitudinal Polarization of $\Lambda$ : CFR

- Spin transfer from lepton to produced  $\Lambda$  (unpolarized target)
- Spin transfer in the longitudinally polarized quark fragmentation

- Spin transfer coefficient:  $C_q(z) \equiv \Delta D_q^\Lambda(z)/D_q^\Lambda(z)$

- NQM:  $C_s(z) = 1; C_u(z) = C_d(z) = 0$

- Burkardt & Jaffe: SU(3)&polarized DIS data

$$C_s(z) = 0.6; C_u(z) = C_d(z) = -0.2$$

- Ma, Schmidt, Soffer & Yang: quark-diquark model with SU(6) breaking & pQCD; Boros, Londergan & Thomas: MIT bag model

$$C_s(z) \leq 1$$

$$C_u(z) = C_d(z) < 0 \text{ at small } z$$

$$C_u(z) = C_d(z) > 0 \text{ at high } z$$

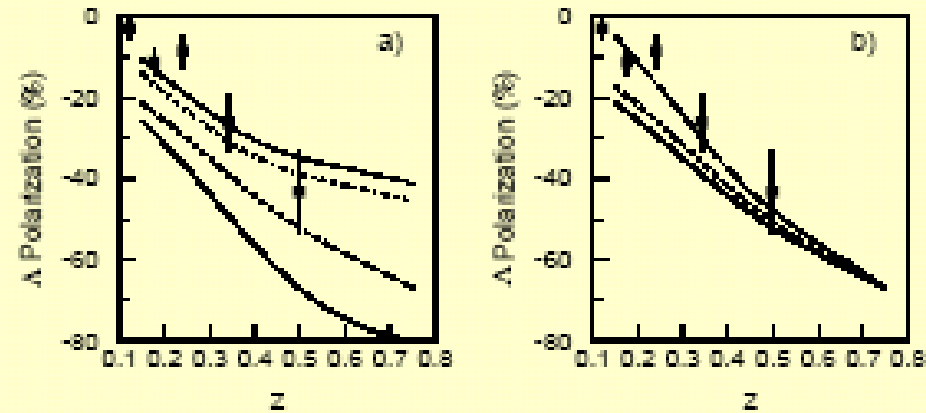
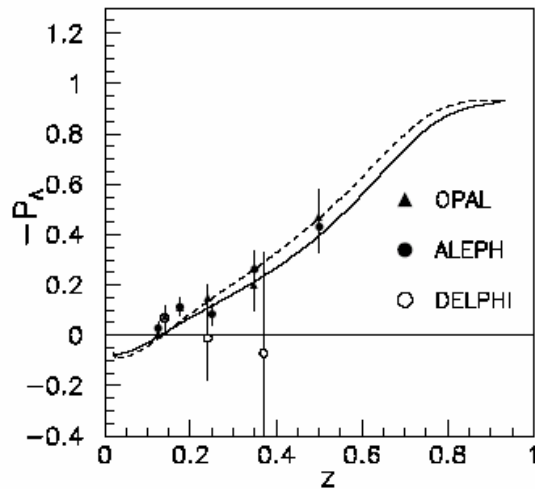
- Bigi; Gustafson & Hakkinen: SU(6)+LUND fragmentation  $q \rightarrow B \rightarrow \Lambda$

$$C_s(z) \leq 1$$

$$C_u(z) = C_d(z) > 0 \text{ at small } z$$

$$C_u(z) = C_d(z) < 0 \text{ at high } z$$

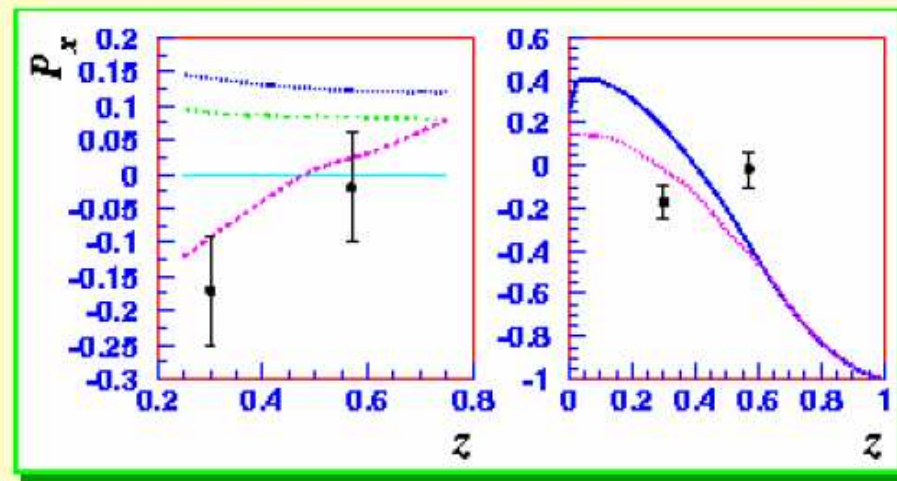
## LEP data



Longitudinal polarization of  $\Lambda/\bar{\Lambda}$  in  $e^+e^-$  annihilation at  $Z^0$  pole (data from DELPHI (1995), curves: A. K., A. Bravar & D. von Harrach(1998))

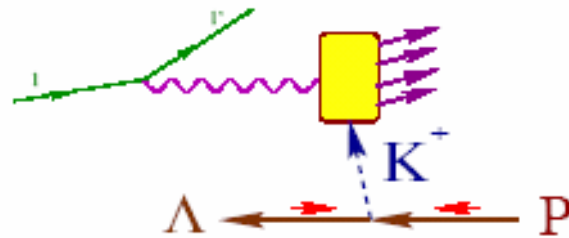
## HERMES data

- ◆ A. Kotzinian, A. Bravar, D. von Harrach, Eur. Phys. J. C2 (1998) 329 (left)
- ◆ B. Ma, I. Schmidt, J. Soffer and J. Yang, hep-ph/0001259 (right)



# TFR: Meson Cloud Model

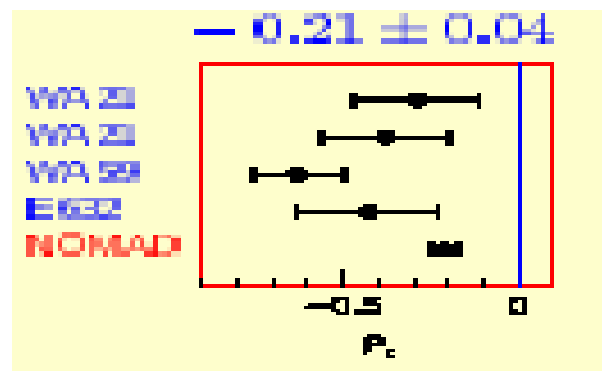
## ● Melnitchouk & Thomas:



● 100 % anticorrelated with target polarization

● contradiction with neutrino data for unpolarized target

● Longitudinal polarization of  $\Lambda$  in the TFR



# Intrinsic Strangeness Model

● Karliner, Kharzeev , Sapozhnikov, Alberg, Ellis & A.K.

✿ nucleon wave function contains an admixture with  $s\bar{s}$  –component:

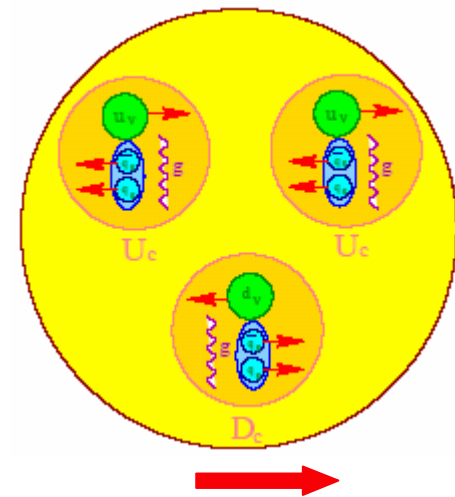
$$|p\rangle = a \sum_{X=0}^{\infty} |uudX\rangle + b \sum_{X=0}^{\infty} |uud\bar{s}sX\rangle + \dots$$

✿  $\pi, K$  masses are small at the typical hadronic mass scale  $\Rightarrow$  a strong attraction in the  $J^P = 0^-$  – channel.

✿  $q\bar{q}$  –pairs from vacuum in  ${}^3P_0$  – state

Spin crisis:  $\Delta s \approx -0.1$

Polarized proton:



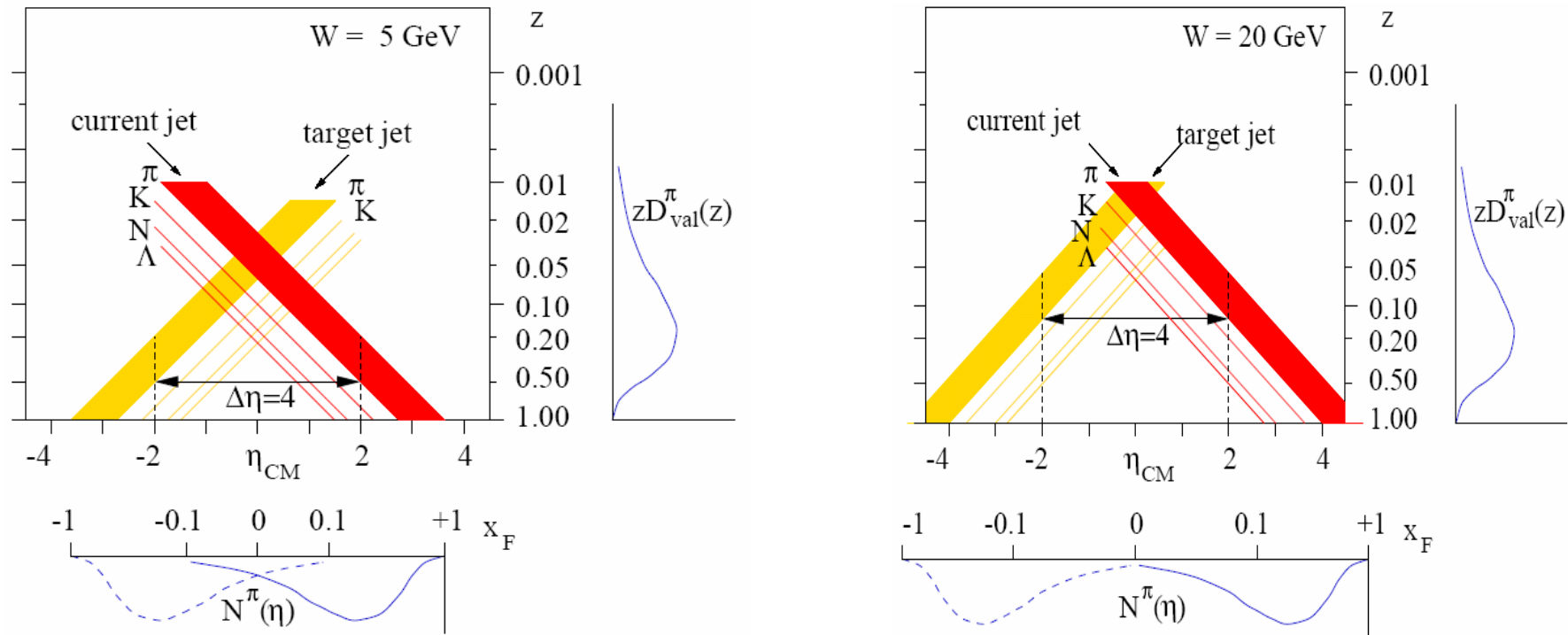


# Ed. Berger criterion (separation of CFR & TFR)

The typical hadronic correlation length in rapidity is

$$\Delta y_h \simeq 2$$

Illustrations from P. Mulders:

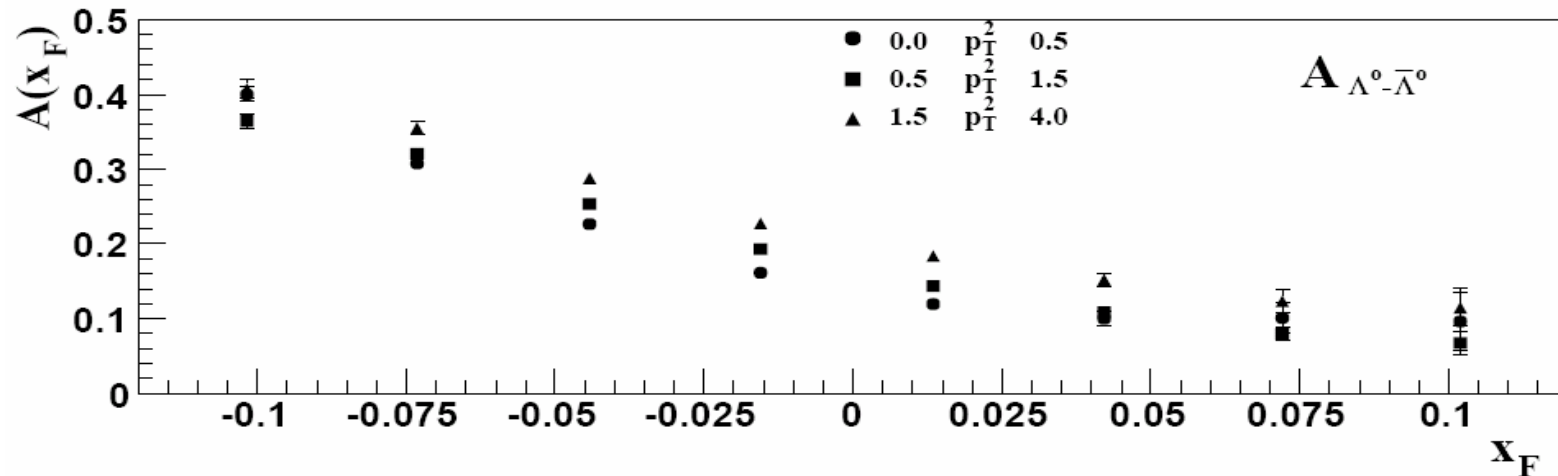


# $\Lambda$ production in 500 GeV/c $\pi^-$ -Nucleon Production

● Fermilab E791 Collaboration, hep-ph/0009016

$$\begin{aligned} \pi^- &= u\bar{d} & \Lambda^0 &= uds & \text{common } u \\ \pi^- &= u\bar{d} & \bar{\Lambda}^0 &= \bar{u}\bar{d}\bar{s} & \text{common } \bar{d} \end{aligned}$$

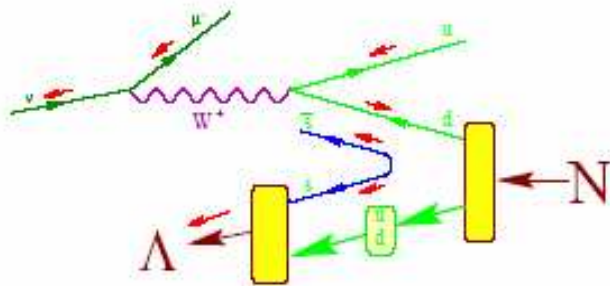
$$A = \frac{N_{\Lambda^0} - N_{\bar{\Lambda}^0}}{N_{\Lambda^0} + N_{\bar{\Lambda}^0}}$$



# J.Ellis, A.K. & D.Naumov (2002)

$$P_{\Lambda}^{lN}(B) = \frac{\sum_M P_s(B(J, M)) |\langle B(J, M) | \text{diquark-quark remnant} + s \text{ quark} \rangle|^2}{\sum_M |\langle B(J, M) | \text{diquark-quark remnant} + s \text{ quark} \rangle|^2}$$

- $P_s(B(J, M))$  is the polarization of the strange quark in the baryon  $B$  with the spin state  $|B(J, M)\rangle$ ,
- $|\text{diquark-quark remnant} + s \text{ quark}\rangle$  is the product of the wave function of the remnant diquark and the wave function of polarized  $s$  quark.



The remnant diquark-quark wave functions are:

$$|p \ominus d^\uparrow\rangle = \frac{1}{\sqrt{36}} [-\sqrt{2}(uu)_{1,0} + 2(uu)_{1,-1}]$$

$$|n \ominus d^\uparrow\rangle = \frac{1}{\sqrt{36}} [3(ud)_{0,0} + (ud)_{1,0} - \sqrt{2}(ud)_{1,-1}]$$

The wave function of polarized  $s$  quark is:

$$|s\rangle_{pol} = \frac{1}{\sqrt{2}} |\sqrt{(1+C_{sq})}s^\uparrow + \sqrt{(1-C_{sq})}s^\downarrow\rangle$$

Finally, the  $\Lambda^0$  polarization in lN DIS is:

$$P_{\Lambda}^{lN} = \sum_B \xi_B P_{\Lambda}^{lN}(B), \text{ where } \xi_B \text{ is the fraction of } \Lambda^0 \text{ produced via } B$$

# $\Lambda$ polarization in quark & diquark fragmentation

$\Lambda$  polarization from the quark fragmentation

$$P_{\Lambda}^{\Lambda}(B) = -C_q^{\Lambda}(B)P_q,$$

Table 1: Spin correlation coefficients in SU(6) and BJ models

$\Lambda$ 's parent	$C_u^{\Lambda}$		$C_d^{\Lambda}$		$C_s^{\Lambda}$	
	SU(6)	BJ	SU(6)	BJ	SU(6)	BJ
quark	0	-0.18	0	-0.18	1	0.63
$\Sigma^0$	-2/9	-0.12	-2/9	-0.12	1/9	0.15
$\Xi^0$	-0.15	0.07	0	0.05	0.6	-0.37
$\Xi^-$	0	0.05	-0.15	0.07	0.6	-0.37
$\Sigma^+$	5/9	5/9	5/9	5/9	5/9	5/9

$\Lambda$  polarization from the diquark fragmentation

$$P_{\Lambda}^{\nu d}(\text{prompt}; N) = P_{\Lambda}^{\sigma u}(\text{prompt}; N) =$$

$$P_{\Lambda}^{l u}(\text{prompt}; N) = C_{sq} \cdot P_q,$$

$$P_{\Lambda}^{\nu d}(\Sigma^0; n) = P_{\Lambda}^{\sigma u}(\Sigma^0; p) =$$

$$P_{\Lambda}^{l u}(\Sigma^0; p) = P_{\Lambda}^{l d}(\Sigma^0; n) = \frac{1}{3} \cdot \frac{2 + C_{sq}}{3 + 2C_{sq}} \cdot P_q,$$

$$P_{\Lambda}^{\nu d}(\Sigma^{*0}; n) = P_{\Lambda}^{\nu d}(\Sigma^{*+}; p) =$$

$$P_{\Lambda}^{\sigma u}(\Sigma^{*0}; p) = P_{\Lambda}^{\sigma u}(\Sigma^{*+}; n) =$$

$$P_{\Lambda}^{l u}(\Sigma^{*0}; p) = P_{\Lambda}^{l d}(\Sigma^{*0}; n) =$$

$$P_{\Lambda}^{l d}(\Sigma^{*+}; p) = P_{\Lambda}^{l u}(\Sigma^{*-}; n) = -\frac{5}{3} \cdot \frac{1 - C_{sq}}{3 - C_{sq}} \cdot P_q,$$

# Spin Transfer

- We use Lund string fragmentation model incorporated in LEPTO6.5.1 and JETSET7.4.
- We consider two extreme cases when polarization transfer is nonzero:

## • **model A:**

- the hyperon contains the stuck quark:  $R_q = 1$
- the hyperon contains the remnant diquark:  $R_{qq} = 1$

## • **model B:**

- the hyperon originates from the stuck quark:  $R_q \geq 1$
- the hyperon originates from the remnant diquark:  $R_{qq} \geq 1$

# Fixing free parameters

- We vary two correlation coefficients ( $C_{sq_{val}}$  and  $C_{sq_{sea}}$ ) in order to fit our models A and B to the NOMAD  $\Lambda$  polarization data.
- We fit to the following 4 NOMAD points to find our free parameters:
  - $\nu p$ :  $P_x^\Lambda = -0.26 \pm 0.05(stat)$ ,
  - $\nu n$ :  $P_x^\Lambda = -0.09 \pm 0.04(stat)$ ,
  - $W^2 < 15 \text{ GeV}^2$ :  $P_x^\Lambda = -0.34 \pm 0.06(stat)$ ,
  - $W^2 > 15 \text{ GeV}^2$ :  $P_x^\Lambda = -0.06 \pm 0.04(stat)$ .

As a result of these fits we find:

**model A:**  $C_{sq_{val}} = -0.35 \pm 0.03$  and  
 $C_{sq_{sea}} = -0.95 \pm 0.03$

**model B:**  $C_{sq_{val}} = -0.25 \pm 0.03$  and  
 $C_{sq_{sea}} = 0.15 \pm 0.03$

# Results

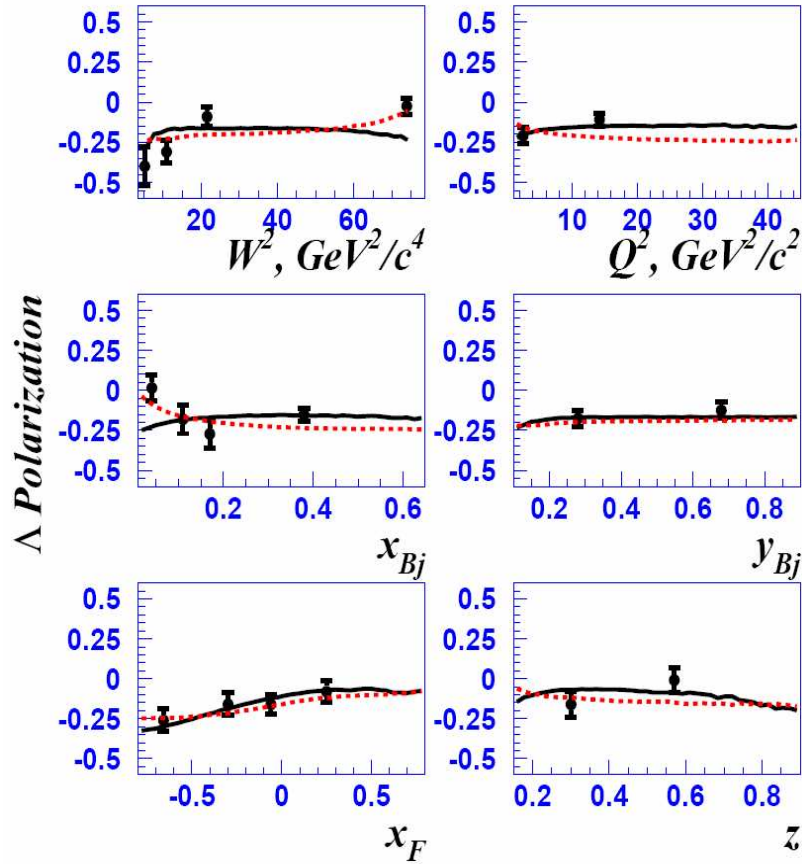


Figure 5: Our model predictions (model A - solid line, model B - dashed line) for polarization of  $\Lambda$  hyperons produced in  $\nu_\mu$  charged current DIS interactions off nuclei as functions of  $W^2$ ,  $Q^2$ ,  $x_{Bj}$ ,  $y_{Bj}$ ,  $x_F$  and  $z$  (at  $x_F > 0$ ). The points with error bars are from NOMAD.

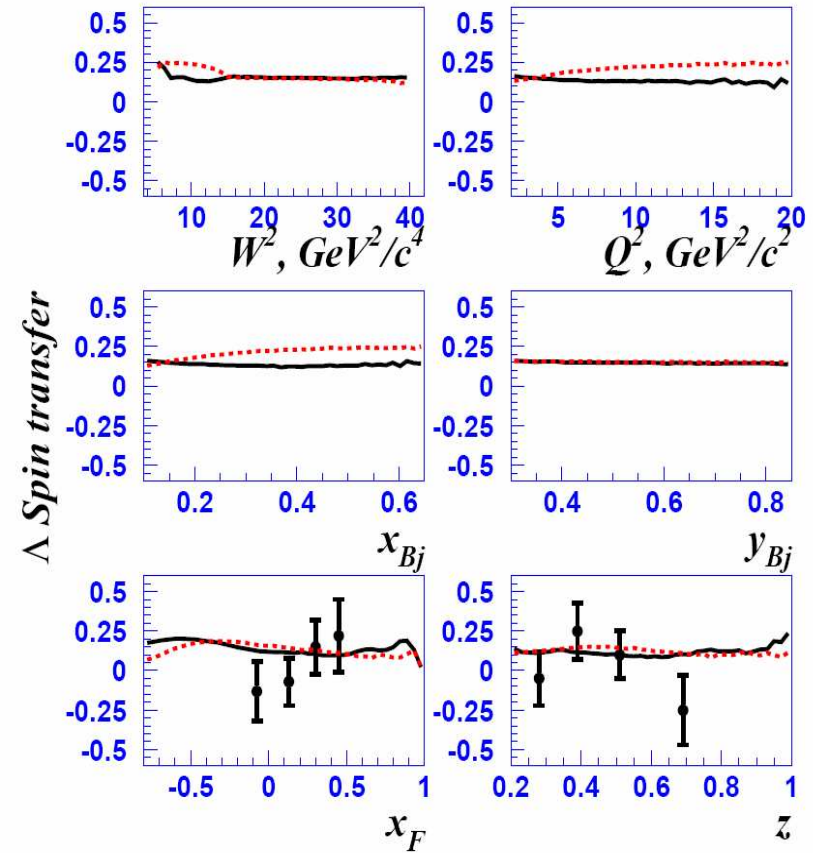


Figure 6: Our model predictions (model A - solid line, model B - dashed line) for the spin transfer of  $\Lambda$  hyperons produced in  $e^+$  DIS interactions off nuclei as functions of  $W^2$ ,  $Q^2$ ,  $x_{Bj}$ ,  $y_{Bj}$ ,  $x_F$  and  $z$  (at  $x_F > 0$ ). ( $E_e = 27.5$  GeV) The points with error bars are from HERMES

# Predictions for COMPASS

The spin-correlation coefficient for the sea quark is different in **model A:** and **model B:**

$x_{min} = 0.003$  in the COMPASS, so we expect different polarizations of  $\Lambda^0$  in these two cases:

Table 3:  $\Lambda$  polarization in  $\mu^+$  DIS predicted for the COMPASS experiment for  $Q^2 > 1. \text{ GeV}^2$ ,  $x_F > -0.2$  and  $0.5 < y < 0.9$ .

$P_\Lambda$ (%)	Target nucleon		
	isoscalar	proton	neutron
model A	-7.3	-7.3	-7.2
model B	-0.4	-0.4	-0.4



# Conclusions

- $\Lambda$  polarization is a non-perturbative phenomena. We have many models for transverse polarization
  - ✿ In spite of almost 30 years of efforts to understand the dynamics of transverse polarization of  $\Lambda$  still lot of questions remain
    - ✿ What is real mechanism of spontaneous transverse polarization? Can we understand it starting from first principles of QCD?
    - ✿ Why it is small (or zero) at LEP
- Predictions for  $\Lambda$  polarization are very sensitive to production mechanism
- We need models which are able to describe the data both in TFR and CFR simultaneously. For the moment we have Lund model in LEPTO
- A phenomenological polarized intrinsic strangeness + SU(6) model is able to describe all available data on longitudinal polarization of  $\Lambda$  in full kinematic range
- Energies in the running experiments are too low to distinguish between different (SU(6) and BJ) models for spin transfer in quark fragmentation