

# La Struttura di Spin del Protone

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## Working in collaboration with

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## Relevant experiments

**HERMES @ DESY**

**COMPASS @ CERN**

**RHIC @ BNL**

**BELLE @ KEK**

**CLAS @ JLAB**

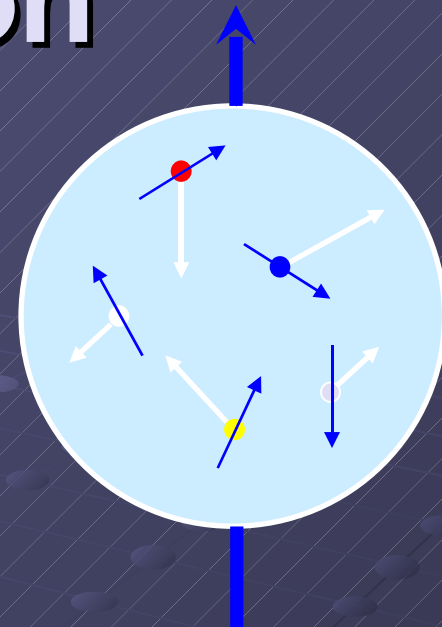
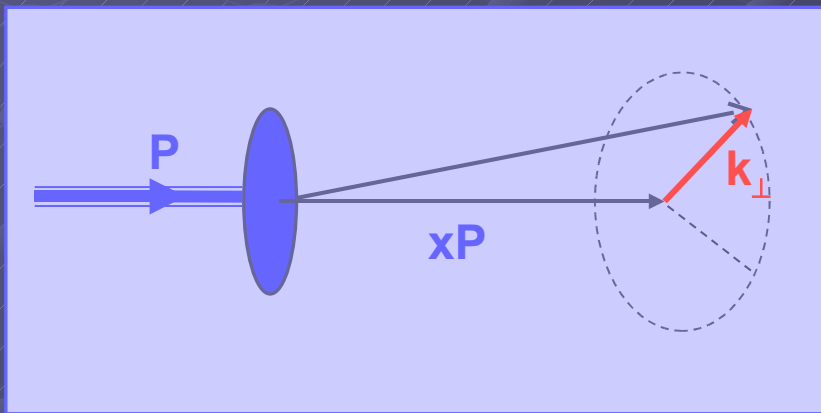
**(PAX @ GSI)**

# Summary

- ❖ Parton intrinsic motion: importance of  $k_{\perp}$  in the study of the spin structure of the nucleons.
- ❖ Transverse momentum dependent distribution and fragmentation functions.
- ❖ First determination of the transversity distribution function.
- ❖ Sivers mechanism.

# Partonic intrinsic motion

Plenty of theoretical and experimental evidence  
for transverse motion of partons within nucleons  
and of hadrons within fragmentation jets

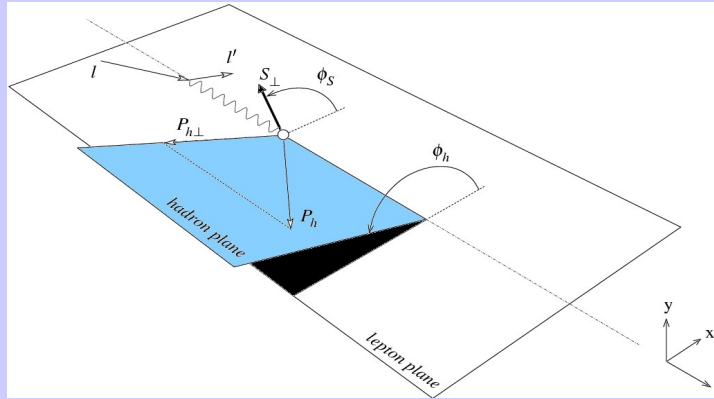




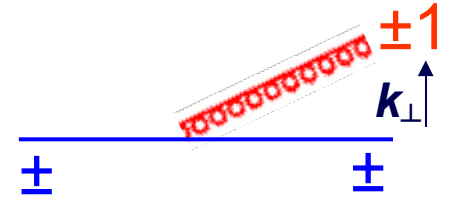
Uncertainty principle:

$$\Delta x \sim 1 \text{ fm} \Rightarrow \Delta p \sim 0.2 \text{ GeV}/c$$

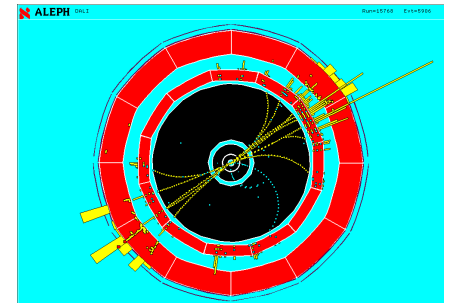
$p_T$  distribution of hadrons in SIDIS



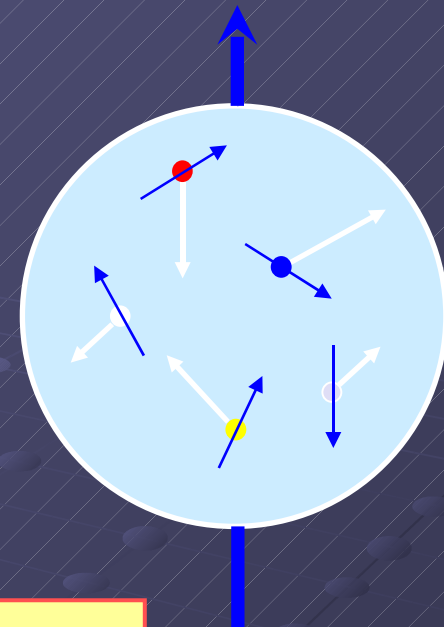
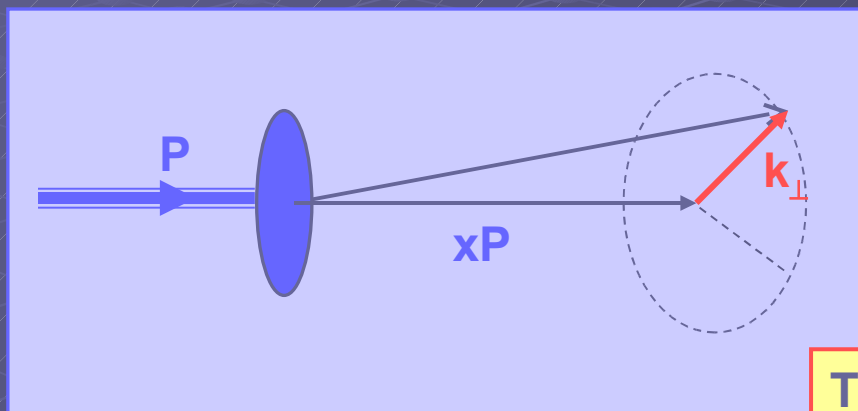
Gluon radiation



Hadron distribution in jets in  $e^+e^-$  processes



# Partonic intrinsic motion



We cannot learn about the spin structure of the nucleon without taking into rigorous account the transverse motion of the partons inside it !!

Transverse motion is usually integrated over, but there are important **spin- $k_{\perp}$**  correlations !!

# Intrinsic motion in unpolarized SIDIS

$$d\sigma^{lp \rightarrow lhX} = \sum_q f_q(x, Q^2) d\{\hat{\sigma}^{lq \rightarrow lq} D_q^h(z, Q^2)\}$$

in collinear parton model

$$d\hat{\sigma}^{lq \rightarrow lq} \propto \hat{s}^2 + \hat{u}^2 \propto 1 + (1-y)^2$$

thus, no dependence on azimuthal angle  $\Phi_h$  at zero-th order in pQCD

$$x = \frac{Q^2}{2p \cdot q}$$

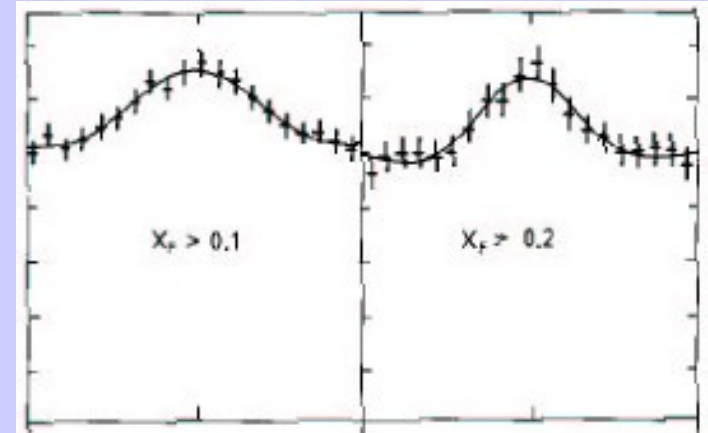
$$Q^2 = -q^2$$

$$y = \frac{p \cdot q}{l \cdot p}$$

The experimental data reveal that

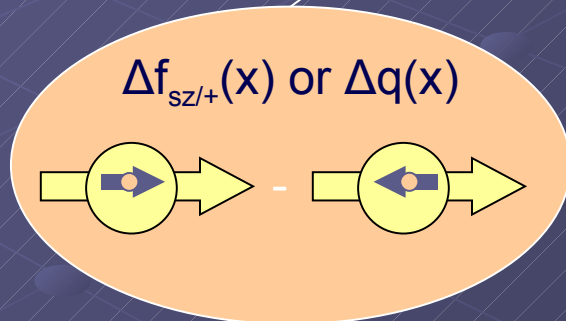
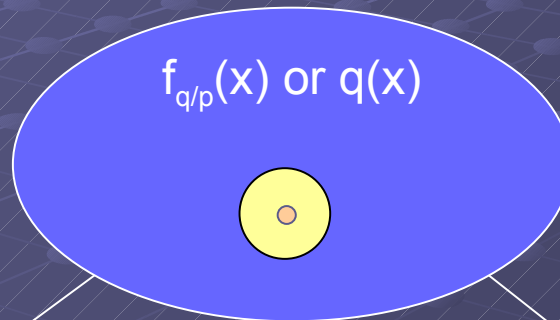
$$d\hat{\sigma}^{lq \rightarrow lh^\pm X} / d\Phi_h \propto A + B \cos \Phi_h + C \cos 2\Phi_h$$

M. Arneodo et al (EMC): Z. Phys. C 34 (1987) 277

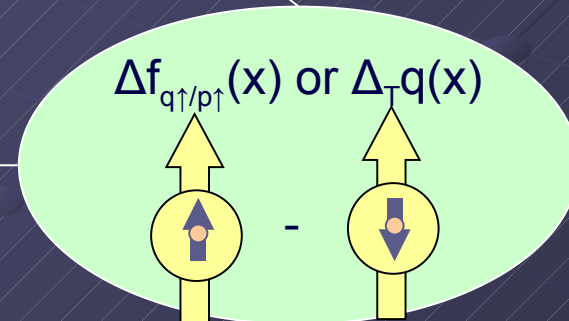


# Integrated distribution functions

Unpolarized distribution function



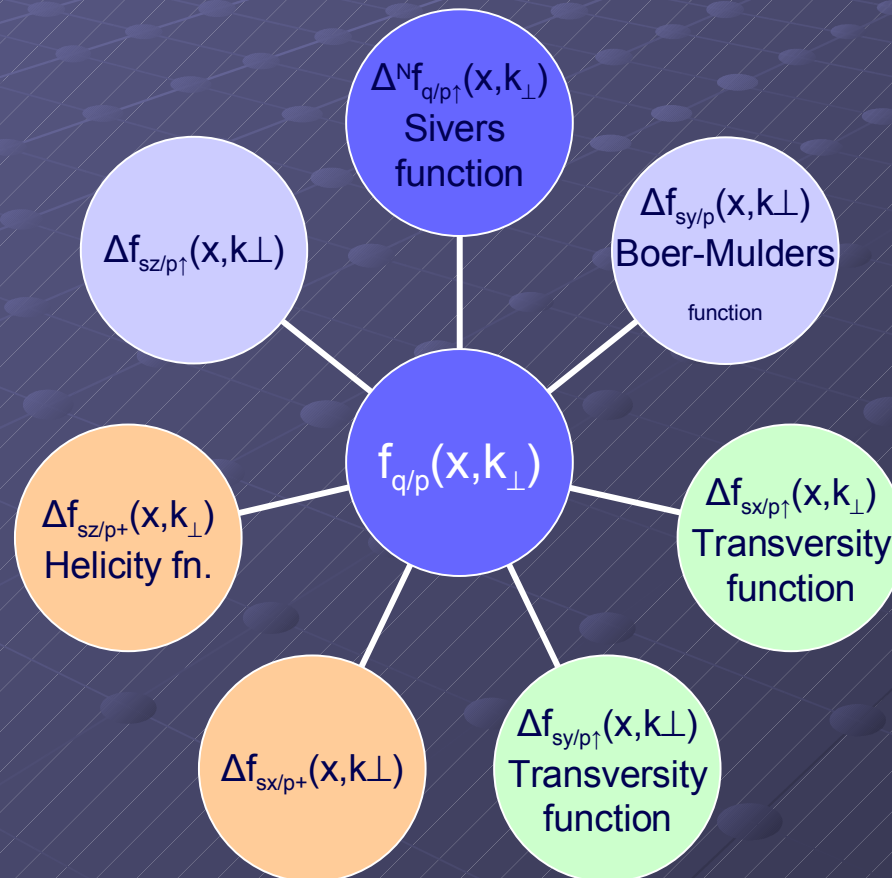
Helicity distribution function



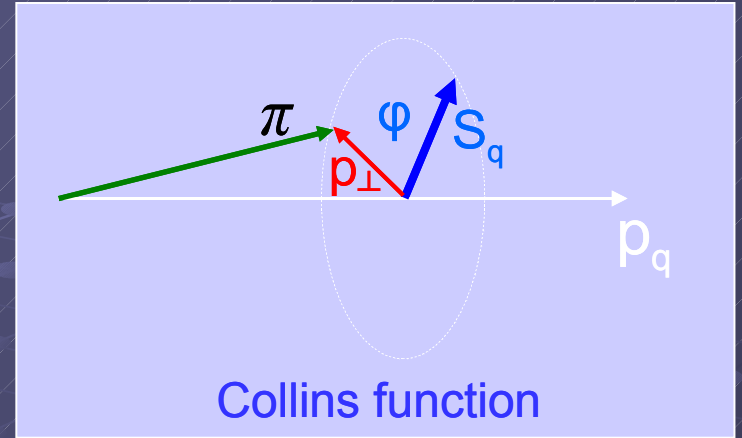
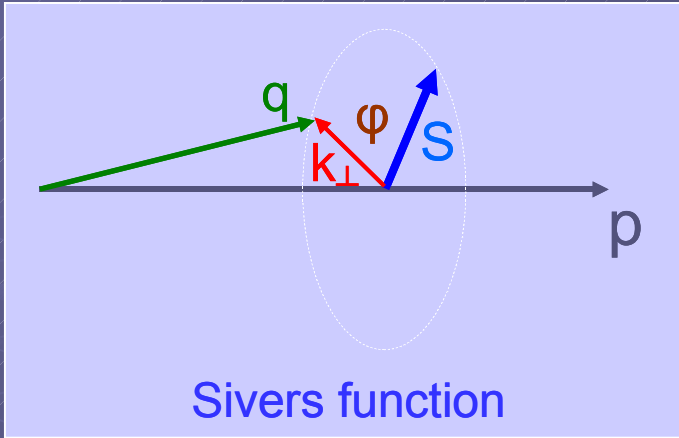
Transversity distribution function

# Transverse Momentum Dependent Distribution Functions

There are 8 leading-twist **spin- $k_{\perp}$**  dependent distribution functions



# Spin - $k_{\perp}$ correlations



$$f_{q/p'}(x, \vec{k}_{\perp}) = f_{q/p}(x, k_{\perp}) + \frac{1}{2} \Delta^N f_{q/p'}(x, k_{\perp}) \vec{S} \cdot (\hat{p} \times \hat{k}_{\perp})$$

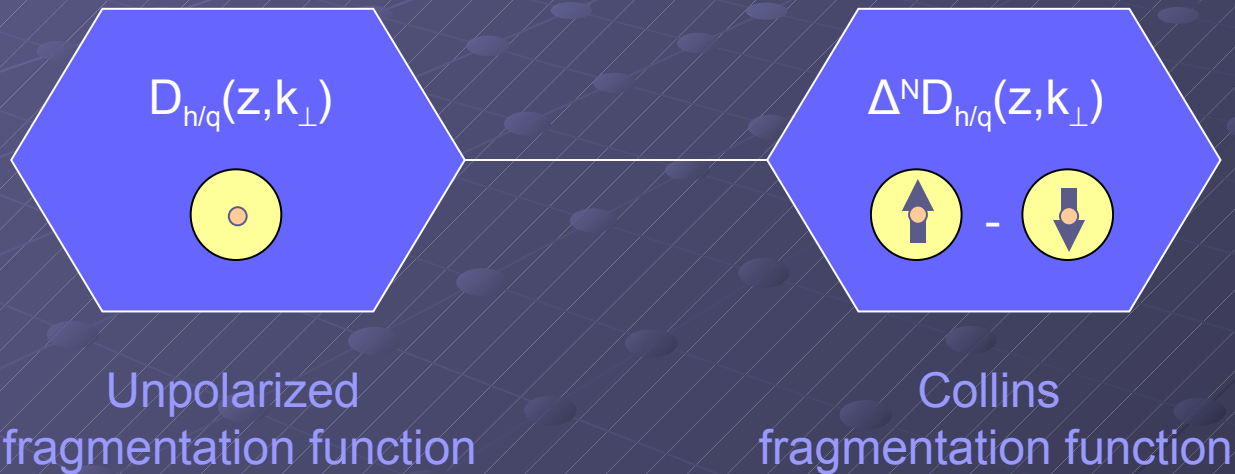
$$D_{hlq'}(z, \vec{p}_{\perp}) = D_{hlq}(z, p_{\perp}) + \frac{1}{2} \Delta^N D_{hlq'}(z, p_{\perp}) \vec{S}_q \cdot (\hat{p}_q \times \hat{p}_{\perp})$$

Amsterdam group notations

$$\Delta^N f_{q/p'} = -\frac{2k_{\perp}}{M} f_{1T}^{i,q}$$

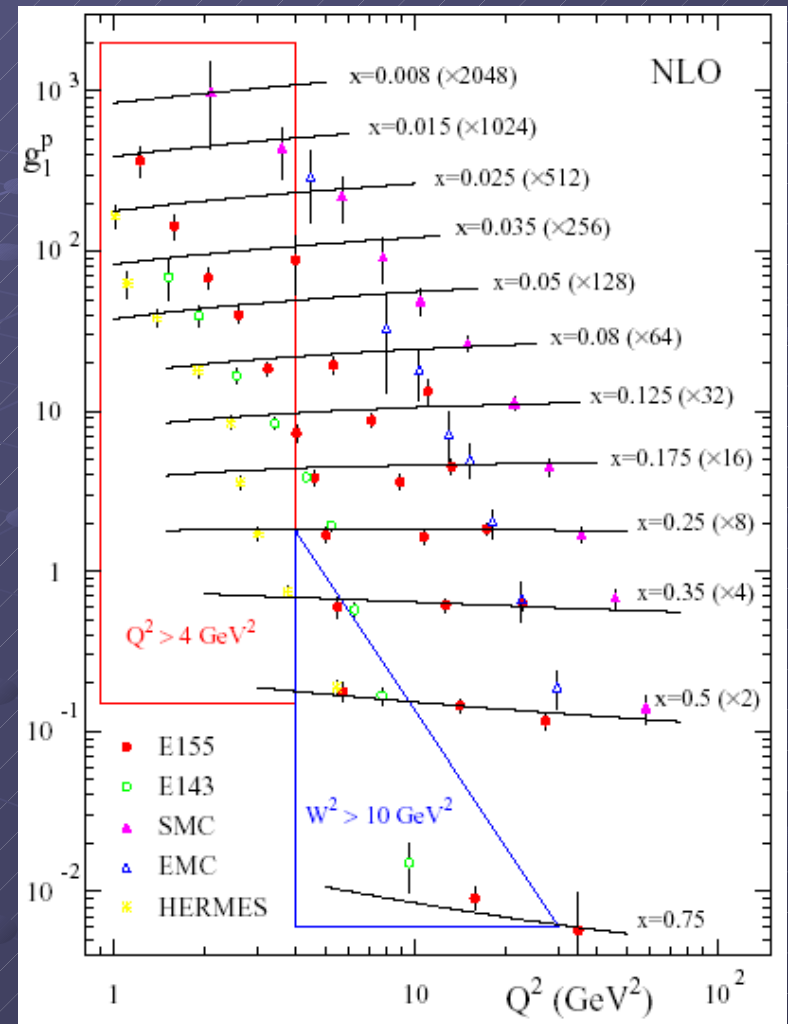
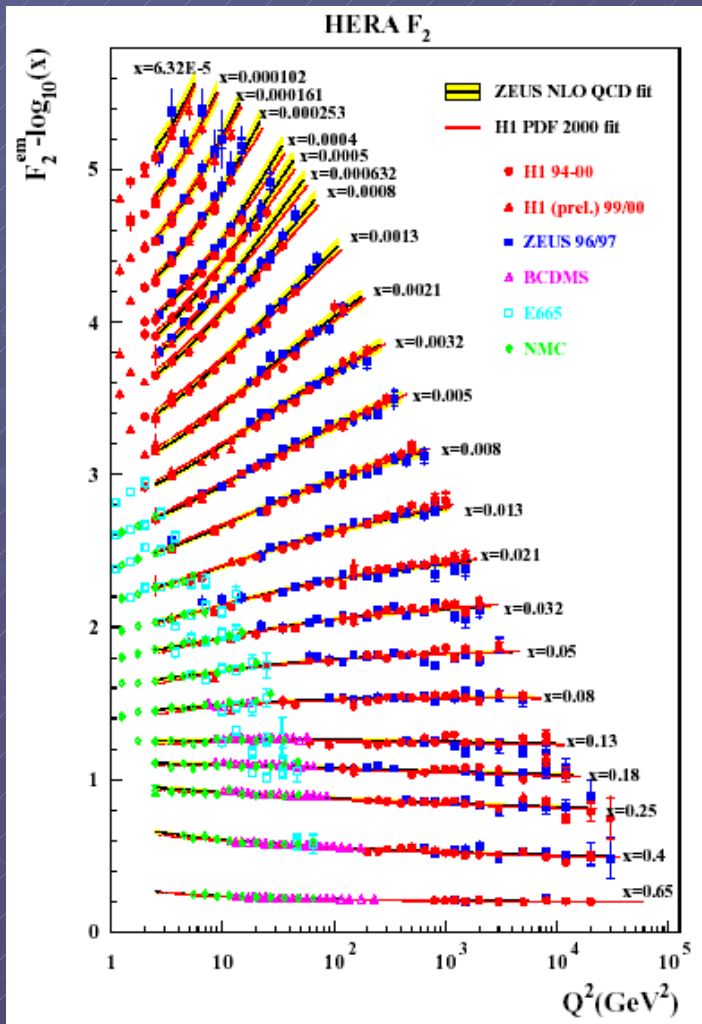
$$\Delta^N D_{hlq'} = 2 \frac{p_{\perp}}{z M_h} H_1^{i,q}$$

# Transverse Momentum Dependent Fragmentation Functions





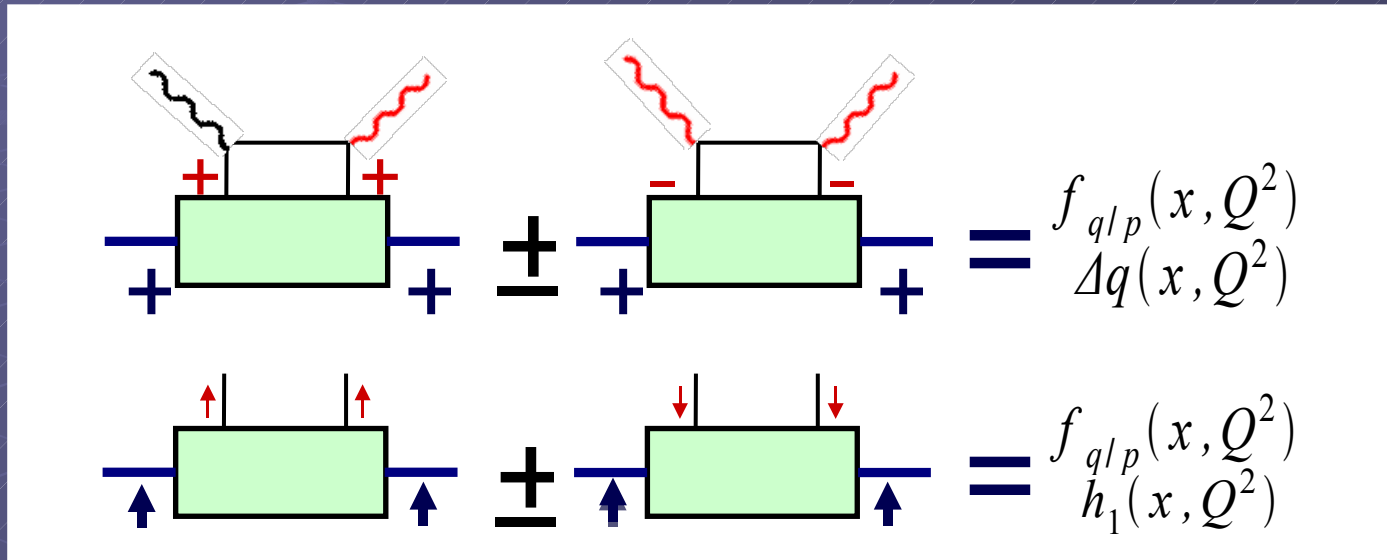
# Unpolarized and helicity distribution function are rather well known



$$\Rightarrow q(x, Q^2)$$

$$\Rightarrow \Delta q(x, Q^2)$$

# The missing piece of the puzzle: transversity

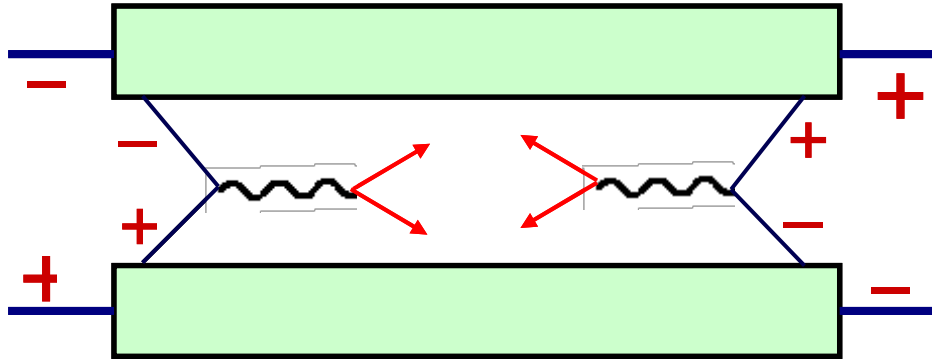


In helicity basis:  $\uparrow\downarrow = \frac{1}{\sqrt{2}} (|+\dot{i}\pm i| - \dot{i})$

$$\rightarrow h_1(x, Q^2) = \left[ \begin{array}{c} \text{quark } (+) \text{ quark } (-) \\ \text{quark } (+) \text{ quark } (-) \end{array} \right]$$
 decouples from DIS  
 (no quark helicity flip)

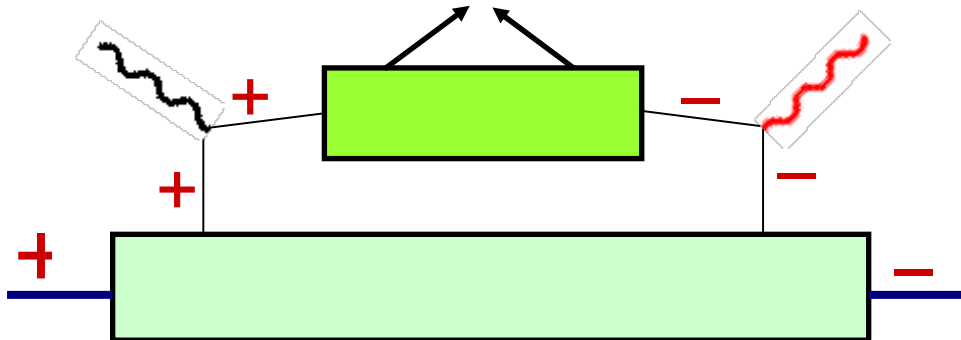
The transversity distribution function must couple to another chiral-odd function. For example

$D-Y$ ,  $pp \rightarrow l^+ l^- X$ , and SIDIS,  $l p \rightarrow l \pi X$



$$h_1 \times h_1$$

J. Ralston and D.Soper, 1979  
J. Cortes, B. Pire, J. Ralston,  
1992

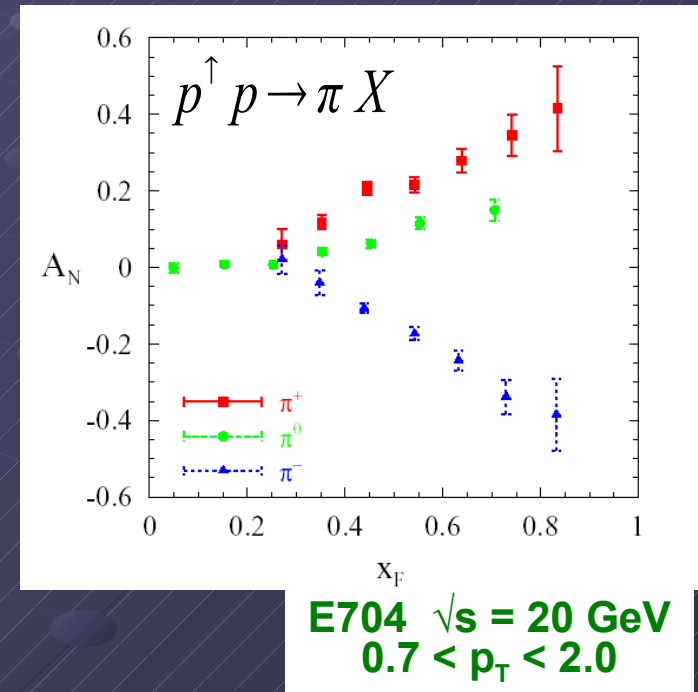


$$h_1 \times \Delta^{\text{ND}}$$

J. Collins, 1993

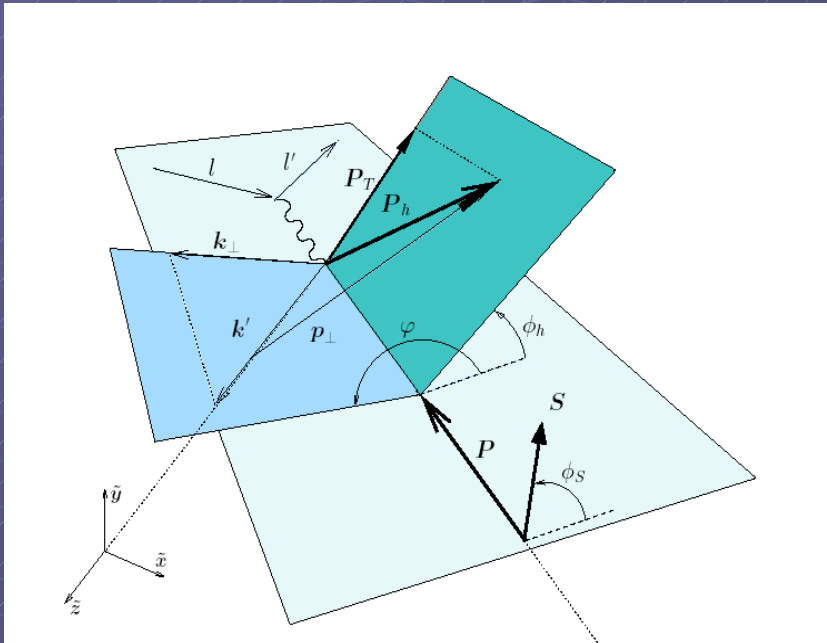
Single spin asymmetries are excellent tools to study the spin structure of the nucleons, as they allow to disentangle different overlapping spin effects

$$A = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}}$$



# Transversity and Collins function from a global fit on SIDIS and $e^+e^-$ data

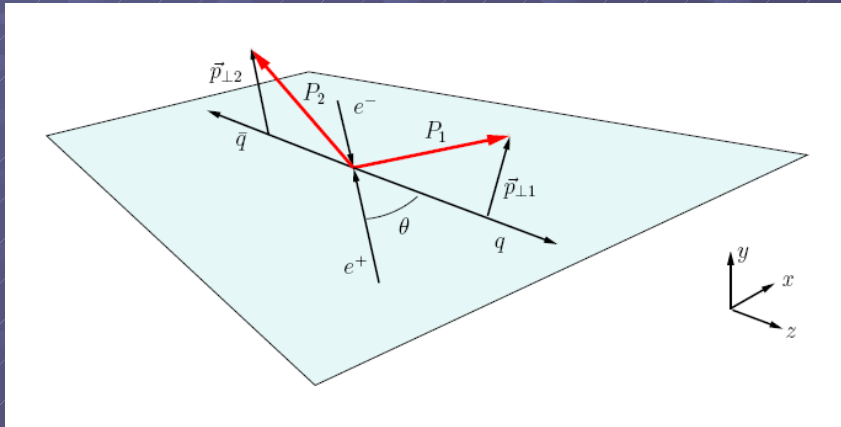
# Transversity and Collins functions from SIDIS single spin asymmetry



$$A_{UT} = \frac{d\sigma^{lp^\uparrow \rightarrow \ell'hX} - d\sigma^{lp^\downarrow \rightarrow \ell'hX}}{d\sigma^{lp^\uparrow \rightarrow \ell'hX} + d\sigma^{lp^\downarrow \rightarrow \ell'hX}}$$

$$A_{UT}^{\sin(\varphi_S + \varphi_h)} = \frac{\sum_q e_q^2 \int d\varphi_S d\varphi_h d^2 \vec{k}_i h_1(x, k_i) \frac{d(\Delta\sigma)}{dy} \Delta^N D_{h/q^\uparrow}(z, p_i) \sin(\varphi_S + \phi + \varphi_q^h) \sin(\varphi_S + \varphi_h)}{\sum_q e_q^2 \int d\varphi_S d\varphi_h d^2 \vec{k}_i f_{q/p}(x, k_i) \frac{d\sigma}{dy} D_{h/q}(z, p_i)}$$

# Collins function from $e^+e^- \rightarrow h_1 h_2 X$ at BELLE

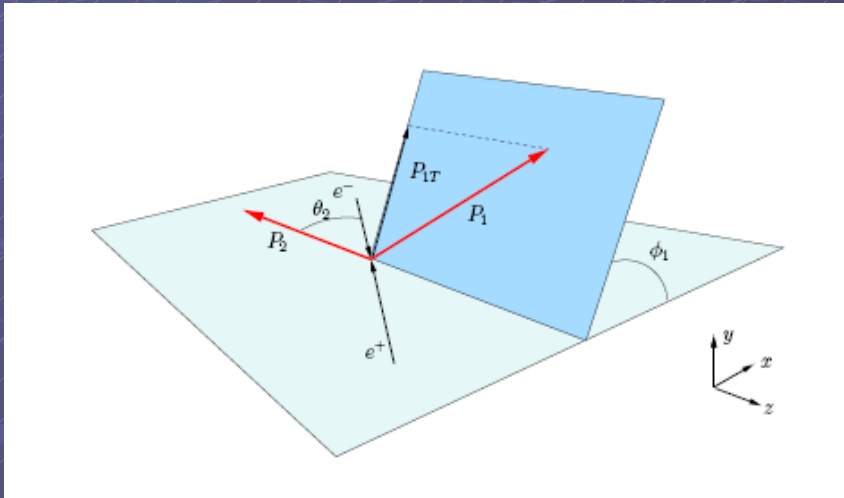


Thrust axis  
method

$$\begin{aligned}
 A(z_1, z_2, \theta, \varphi_1 + \varphi_2) &\equiv \frac{1}{\langle d\sigma \rangle} \frac{d\sigma^{e^+e^- \rightarrow h_1 h_2 X}}{dz_1 dz_2 d\cos\theta d(\varphi_1 + \varphi_2)} \\
 &= 1 + \frac{1}{8} \frac{\sin^2\theta}{1 + \cos^2\theta} \cos(\varphi_1 + \varphi_2) \frac{\sum_q e_q^2 \Delta^N D_{h_1/q^\dagger}(z_1) \Delta^N D_{h_2/\bar{q}^\dagger}(z_2)}{\sum_q e_q^2 D_{h_1/q}(z_1) D_{h_2/\bar{q}}(z_2)}
 \end{aligned}$$



# Collins function from $e^+e^- \rightarrow h_1 h_2 X$ at BELLE



**Hadronic plane  
method**

$$A(z_1, z_2, \theta_2, \phi_1) = 1 + \frac{1}{\pi} \frac{z_1 z_2}{z_1^2 + z_2^2} \frac{\sin^2 \theta_2}{1 + \cos^2 \theta_2} \cos(2\phi_1) \frac{\sum_q e_q^2 \Delta^N D_{h_1/q^\dagger}(z_1) \Delta^N D_{h_2/\bar{q}^\dagger}(z_2)}{\sum_q e_q^2 D_{h_1/q}(z_1) D_{h_2/\bar{q}}(z_2)}$$

# Parametrizations

Unpolarized distribution

$$f_{q/p}(x, k_{\perp}) = f_{q/p}(x) \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}}{\pi \langle k_{\perp}^2 \rangle},$$

Unpolarized fragmentation

$$D_{h/q}(z, p_{\perp}) = D_{h/q}(z) \frac{e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle}}{\pi \langle p_{\perp}^2 \rangle},$$

Gaussian widths

$$\langle k_{\perp}^2 \rangle = 0.25 \text{ GeV}^2 \quad \langle p_{\perp}^2 \rangle = 0.20 \text{ GeV}^2$$

Transversity distribution

$$\Delta_T q(x, k_{\perp}) = \frac{1}{2} \mathcal{N}_q^T(x) [f_{q/p}(x) + \Delta q(x)] \frac{e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle_T}}{\pi \langle k_{\perp}^2 \rangle_T}$$

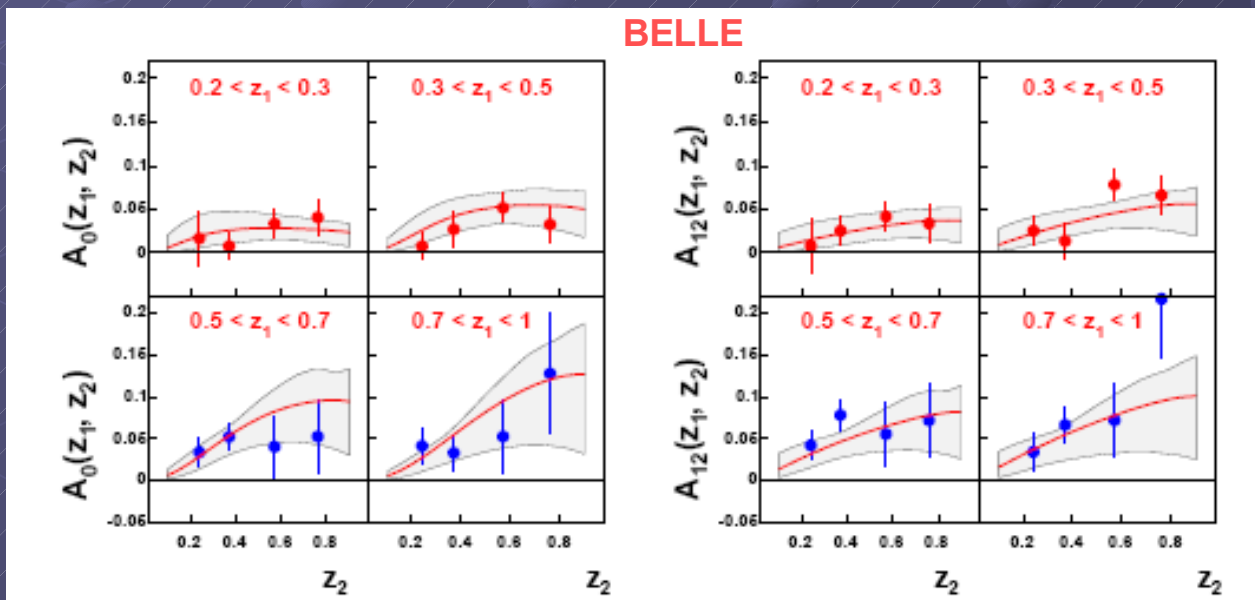
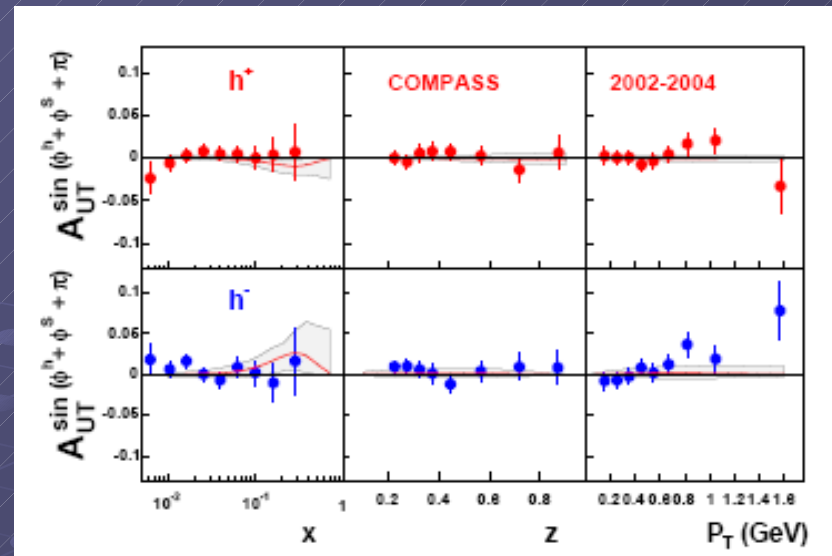
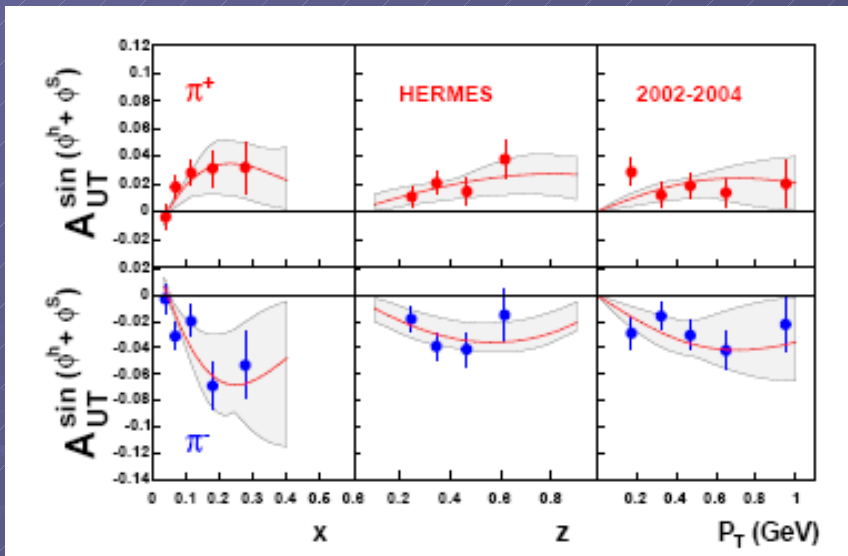
Collins fragmentation

$$\Delta^N D_{h/q^{\uparrow}}(z, p_{\perp}) = 2 \mathcal{N}_q^C(z) D_{h/q}(z) h(p_{\perp}) \frac{e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle}}{\pi \langle p_{\perp}^2 \rangle},$$

$$\mathcal{N}_q^T(x) = N_q^T x^{\alpha} (1-x)^{\beta} \frac{(\alpha + \beta)^{(\alpha + \beta)}}{\alpha^{\alpha} \beta^{\beta}}$$

$$\mathcal{N}_q^C(z) = N_q^C z^{\gamma} (1-z)^{\delta} \frac{(\gamma + \delta)^{(\gamma + \delta)}}{\gamma^{\gamma} \delta^{\delta}}$$

$$h(p_{\perp}) = \sqrt{2e} \frac{p_{\perp}}{M} e^{-p_{\perp}^2 / M^2},$$



# Collins fragmentation function

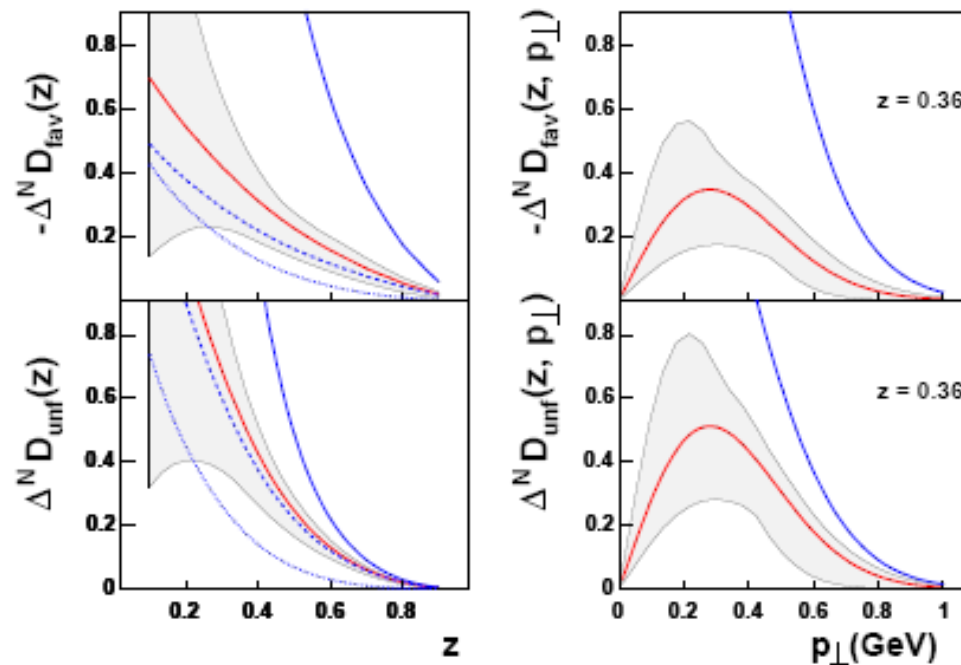


FIG. 8: Favored and unfavored Collins fragmentation functions as determined through our global best fit. In the left panel we show the  $z$  dependence of the  $p_{\perp}$  integrated Collins functions defined in Eq. (40) and compare it to the results of Refs. [24] (dashed line) and [25] (dotted line). In the right panel we show the  $p_{\perp}$  dependence of the Collins functions defined in Eq. (14), at a fixed value of  $z$ . In all cases we also show the positivity bound (19) (upper lines).

# Transversity distribution function

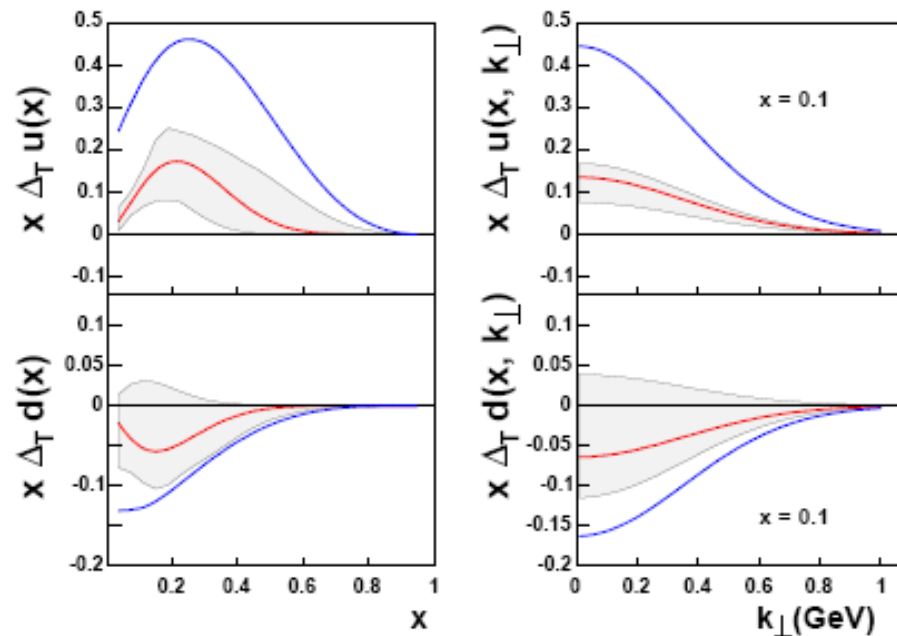
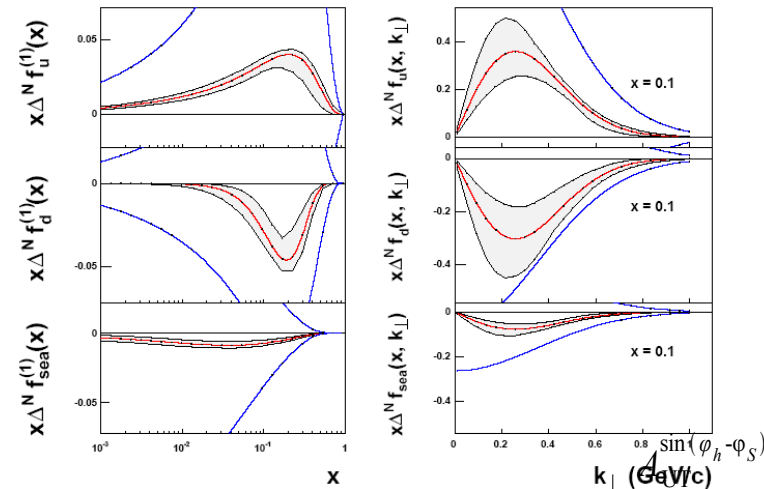
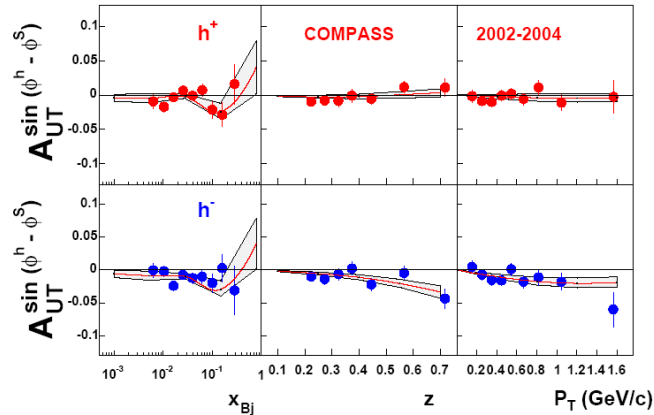
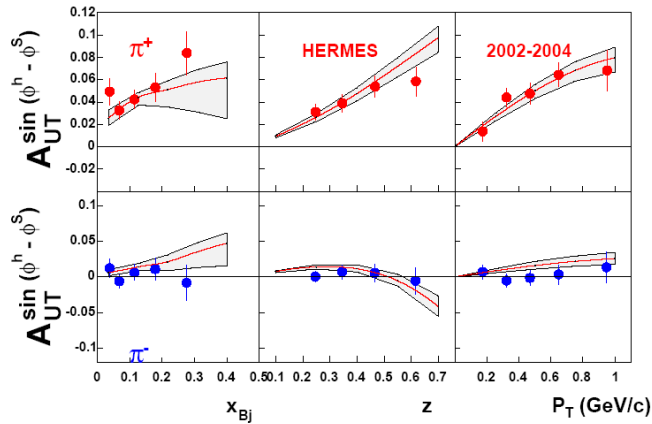


FIG. 7: The transversity distribution functions for  $u$  and  $d$  quarks as determined through our global best fit. In the left panel,  $x \Delta_T u(x)$  (upper plot) and  $x \Delta_T d(x)$  (lower plot), see Eq. (5), are shown as functions of  $x$ . The Soffer bound [20] is also shown for comparison (bold blue line). In the right panel we present the unintegrated transversity distributions,  $x \Delta_T u(x, k_\perp)$  (upper plot) and  $x \Delta_T d(x, k_\perp)$  (lower plot), as defined in Eq. (13), as functions of  $k_\perp$  at a fixed value of  $x$ . Notice that this  $k_\perp$  dependence is not obtained from the fit, but it has been chosen to be the same as that of the unpolarized distribution functions: we plot it in order to show its uncertainty (shaded area), due to the uncertainty in the determination of the free parameters.

# Sivers distribution function from SIDIS data



$$\Delta^N f_{q/p^+}(x, k_{\perp}) = 2N_q(x) h(k_{\perp}) f_{q/p}(x, k_{\perp})$$

$$N_q(x) = N_q x^{a_q} (1-x)^{b_q} \frac{(a_q + b_q)^{(a_q + b_q)}}{a_q^{a_q} b_q^{b_q}}$$

$$-1 \leq N_q \leq 1 \quad |N_q(x)| \leq 1$$

$$\frac{|\Delta^N f_{q/p^+}(x, k_{\perp})|}{2f_{q/p}(x, k_{\perp})} \leq 1$$

$$\sum_q e^2 \int d\varphi_S d\varphi_h d^2 \vec{k}_{\perp} \Delta^N f_{q/p^+}(x, k_{\perp}) \frac{d\sigma}{dQ^2} D_{h/q}(z, p_{\perp}) \sin(\phi - \varphi_S) \sin(\varphi_h - \varphi_S)$$

$$\sum_q e^2 \int d\varphi_S d\varphi_h d^2 \vec{k}_{\perp} f_{q/p}(x, k_{\perp}) \frac{d\sigma}{dQ^2} D_{h/q}(z, p_{\perp})$$

# Conclusions

- **Shaping up the nucleon spin and  $k_{\perp}$  structure**  
(need more information on quark intrinsic motion)
- **First ever extraction of the transverse distribution function**  
(Simultaneous determination of the Collins fragmentation function through a global analysis of exp. data)
- **Plenty of new data expected from JLab and BELLE**
- **New frontiers: Orbiting quarks ?**



**Cahn**: the observed azimuthal dependence is related to the **intrinsic  $k_{\perp}$**  of quarks (at least for small  $P_T$  values)

$$k_i = (k_i \cos \phi, k_i \sin \phi, 0)$$

$$\hat{s} = sx \left[ 1 - \frac{2k_i}{Q} \sqrt{1-y} \cos \phi \right] + O\left(\frac{k_i^2}{Q^2}\right)$$

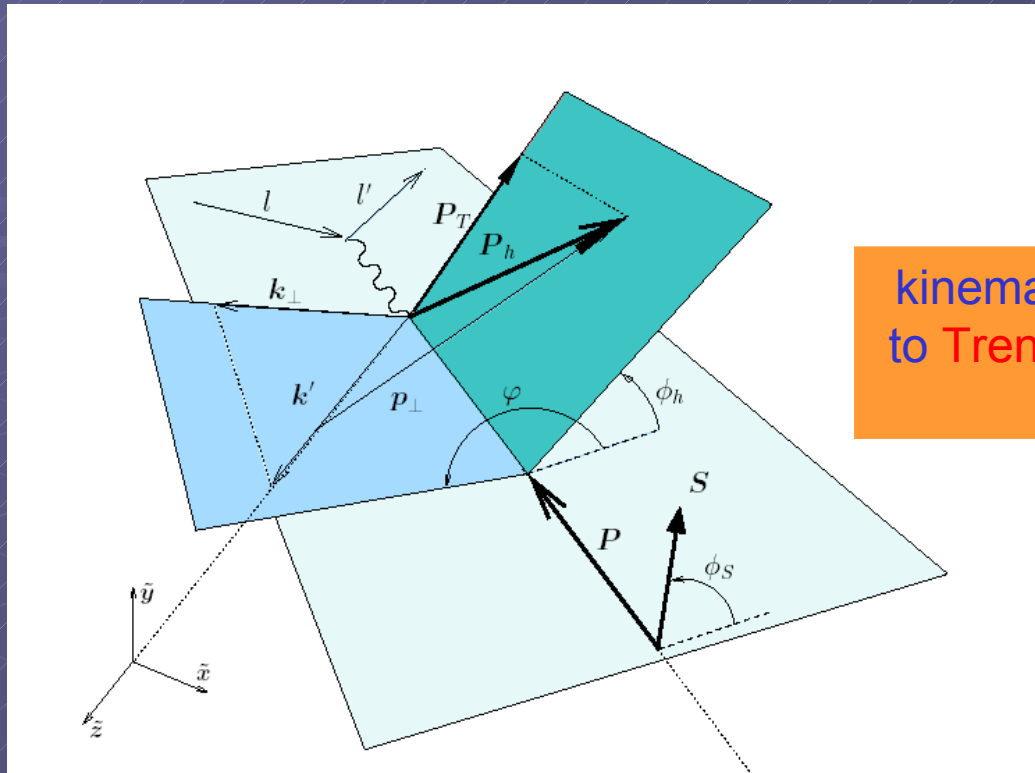
$$\hat{u} = s x (1-y) \left[ 1 - \frac{2k_i}{Q \sqrt{1-y}} \cos \phi \right] + O\left(\frac{k_i^2}{Q^2}\right)$$

→ assuming collinear fragmentation,  $\phi = \Phi_h$

$$\frac{d\hat{\sigma}^{lq \rightarrow lhX}}{d\Phi_h} \propto \hat{s}^2 + \hat{u}^2 \propto A + B \cos \Phi_h + C \cos 2\Phi_h$$

These modulations of the cross section with azimuthal angle are denoted as “**Cahn effect**”.

# Sidis with intrinsic $k_{\perp}$

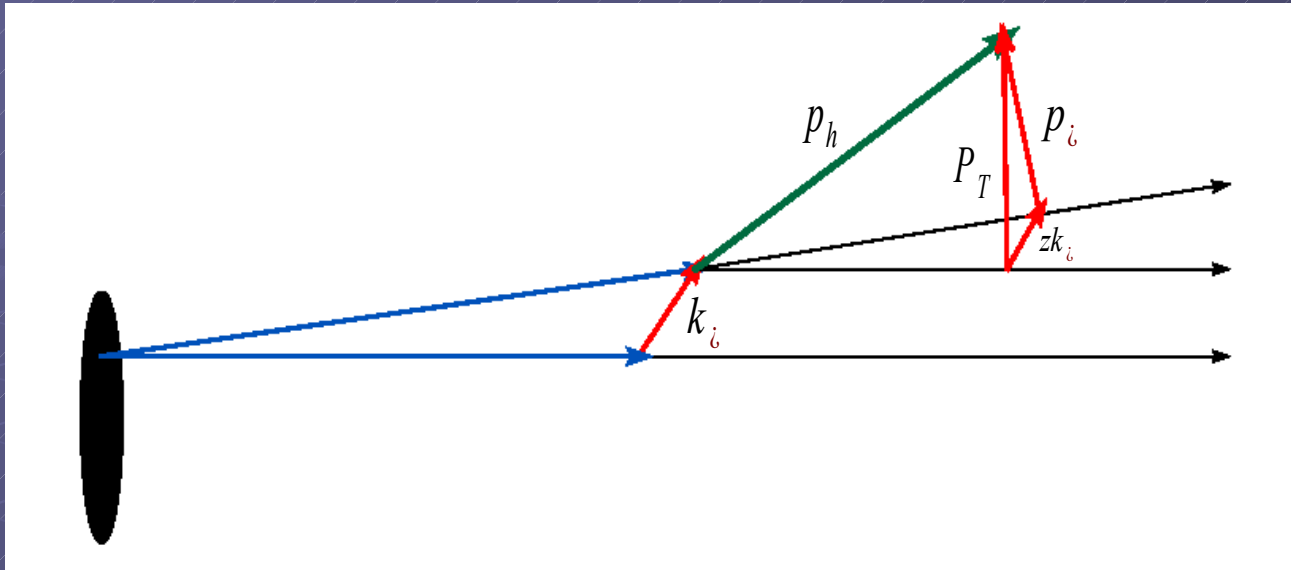


kinematics according  
to Trento conventions  
(2004)

Factorization holds at large  $Q^2$ , and  $P_T \sim k_{\perp} \sim \lambda_{\text{QCD}}$

Ji, Ma, Yuan

$$d\sigma^{lp \rightarrow lhX} = \sum_q f_q(x, k_{\perp}; Q^2) d\hat{\sigma}^{lq \rightarrow lq}(y, k_{\perp}; Q^2) D_q^h(z, p_{\perp}; Q^2)$$



The full kinematics is complicated as the produced hadron has also intrinsic transverse momentum with respect to the fragmenting parton

Neglecting terms of order  $(k_{\perp}^2 / Q^2)$  one has

$$P_T = p_{\perp} + z k_{\perp}$$

assuming:

$$f_q(x, k_{\perp}) = f_q(x) \frac{1}{\pi \langle k_{\perp}^2 \rangle} e^{-k_{\perp}^2 / \langle k_{\perp}^2 \rangle}$$

$$D_q^h(z, p_{\perp}) = D_q^h(z) \frac{1}{\pi \langle p_{\perp}^2 \rangle} e^{-p_{\perp}^2 / \langle p_{\perp}^2 \rangle}$$

one finds:

$$\frac{d^5 \sigma^{lp \rightarrow lhX}}{dx_B dQ^2 dz_h d^2 \mathbf{P}_T} \simeq \sum_q \frac{2\pi \alpha^2 e_q^2}{Q^4} f_q(x_B) D_q^h(z_h) \left[ 1 + (1-y)^2 - 4 \frac{(2-y) \sqrt{1-y} \langle k_{\perp}^2 \rangle z_h P_T}{\langle P_T^2 \rangle Q} \cos \phi_h \right] \frac{1}{\pi \langle P_T^2 \rangle} e^{-P_T^2 / \langle P_T^2 \rangle}$$

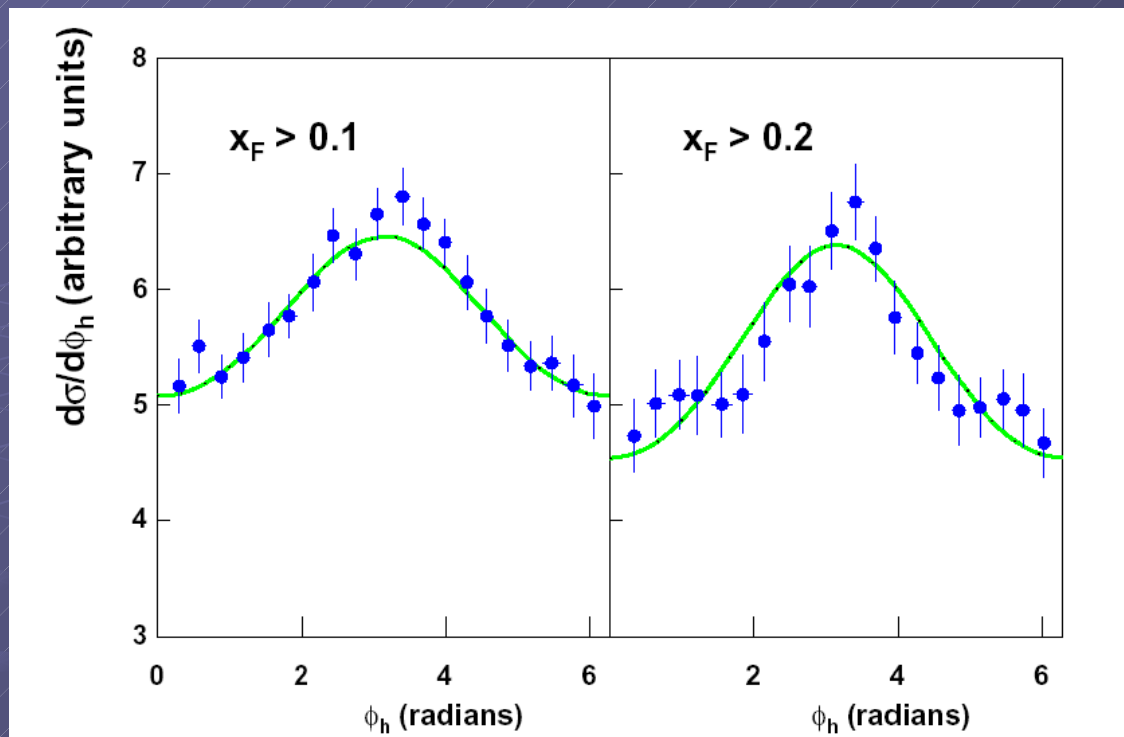
with

$$\langle P_T^2 \rangle = \langle p_{\perp}^2 \rangle + z_h^2 \langle k_{\perp}^2 \rangle$$



clear dependence on  $\langle p_{\perp}^2 \rangle$  and  $\langle k_{\perp}^2 \rangle$  (assumed to be constant)

Find best values by fitting data on  $\phi_h$  and  $P_T$  dependences



EMC data,  $\mu p$  and  $\mu d$ ,  $E$  between 100 and 280 GeV

$$\langle k_{\perp}^2 \rangle = 0.28 \text{ (GeV)}^2 \quad \langle p_{\perp}^2 \rangle = 0.25 \text{ (GeV)}^2$$

M.A., M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia and A. Prokudin